

Result of the search for neutrinoless double- β decay in ^{100}Mo with the NEMO-3 experiment

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The NEMO-3 detector, which had been operating in the Modane Underground Laboratory from 2003 to 2010, was designed to search for neutrinoless double β ($0\nu\beta\beta$) decay. We report final results of a search for $0\nu\beta\beta$ decays with 6.914 kg of ^{100}Mo using the entire NEMO-3 data set with a detector live time of 4.96 yr, which corresponds to an exposure of 34.3 kg·yr. We perform a detailed study of the expected background in the $0\nu\beta\beta$ signal region and find no evidence of $0\nu\beta\beta$ decays in the data. The level of observed background in the $0\nu\beta\beta$ signal region [2.8 – 3.2] MeV is 0.44 ± 0.13 counts/yr/kg, and no events are observed in the interval [3.2 – 10] MeV. We therefore derive a lower limit on the half-life of $0\nu\beta\beta$ decays in ^{100}Mo of $T_{1/2}(0\nu\beta\beta) > 1.1 \times 10^{24}$ yr at the 90% Confidence Level, under the hypothesis of light Majorana neutrino exchange. Depending on the model used for calculating nuclear matrix elements, the limit for the effective Majorana neutrino mass lies in the range $\langle m_\nu \rangle < 0.33\text{--}0.62$ eV. We also report constraints on other lepton-number violating mechanisms for $0\nu\beta\beta$ decays.

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I. INTRODUCTION

Since neutrinos are the only fermions that carry no electric charge, they can be represented by a Majorana field for which the distinction between matter and anti-matter vanishes. The Majorana nature of neutrinos could play a fundamental role in many extensions of the Standard Model. For instance, the see-saw mechanism [1], which requires the existence of a Majorana neutrino, naturally explains the origin of small neutrino masses. A Majorana neutrino would provide a framework for lepton number violation, and in particular for the Leptogenesis process [2], which could explain the observed matter-antimatter asymmetry in the Universe.

The observation of neutrinoless double β ($0\nu\beta\beta$) decay would prove that neutrinos are Majorana particles [3] and that lepton number is not conserved. The most commonly studied mechanism of $0\nu\beta\beta$ decay is the exchange of a Majorana neutrino. However, other mechanisms such as the existence of right-handed currents in the electroweak interaction, the exchange of supersymmetric particles with R -parity violating couplings, or the additional emission of a Majoron particle, are possible. Except for the case of Majoron emission, the experimental signature of $0\nu\beta\beta$ decays is the emission of two electrons with a total energy E_{tot} that is equal to the transition energy $Q_{\beta\beta}$ of the decay.

For a given mechanism and isotope, the $0\nu\beta\beta$ decay half-life depends on the phase space factors and on the nuclear matrix element (NME). The decay half-lives of different isotopes can differ by a few orders of magnitude with large theoretical uncertainties of the NME calculations. It is therefore essential to search for $0\nu\beta\beta$ decays in several isotopes.

The NEMO-3 detector [4] was operated from 2003 until 2010 in the Modane Underground Laboratory (LSM) to measure two-neutrino double β ($2\nu\beta\beta$) decays of seven isotopes in the form of thin foils and to search for $0\nu\beta\beta$ decays. The full topology of double β decays is reconstructed by combining information from a calorimeter and a tracking detector that are both distinct from the source foils. We measure the contributions from different background processes separately by exploiting specific event topologies. The NEMO-3 design and its capacity to identify electrons, positrons, γ rays, and α particles are unique in enabling us to reject background processes very efficiently.

The isotope ^{100}Mo represents the largest source sample in NEMO-3 with a mass of 6.914 kg and $Q_{\beta\beta} = 3034.40 \pm 0.17$ keV [5]. A result based on a subset of the data had previously been published in [6]. We reported as rapid communications [7] the results of a search for $0\nu\beta\beta$ decays for the entire data set, corresponding to a live time of 4.96 yr and an exposure of 34.3 kg \cdot yr of ^{100}Mo . In this Article, we describe this analysis in more detail.

The NEMO-3 detector is introduced in Section II, and the energy and timing calibration of the detector are described in Section III. Selection criteria for $0\nu\beta\beta$ candi-

dates are given in Section IV. The methodology and the results of the measurement of the different background components are presented in Section V. Results of the search for $0\nu\beta\beta$ decays are summarised in Section VI.

II. THE NEMO-3 DETECTOR

The distinctive feature of the NEMO-3 detection method is a full reconstruction of the double β decay topology using tracking in three dimensions as well as calorimetric and timing information. It provides not only the total energy E_{tot} of the two simultaneously emitted electrons, but also the single energy of each electron and their angular distribution at the emission point from the foil. A detailed description of the NEMO-3 detector can be found in [4]. The thin source foils with a density of 40–60 mg/cm² containing the active double β decay isotope are surrounded by a tracking detector comprising open drift cells and a calorimeter composed of plastic scintillators. The source foils are distributed over a cylindrical surface of about 20 m², which is segmented into 20 sectors of equal size, as shown in Figure 1.

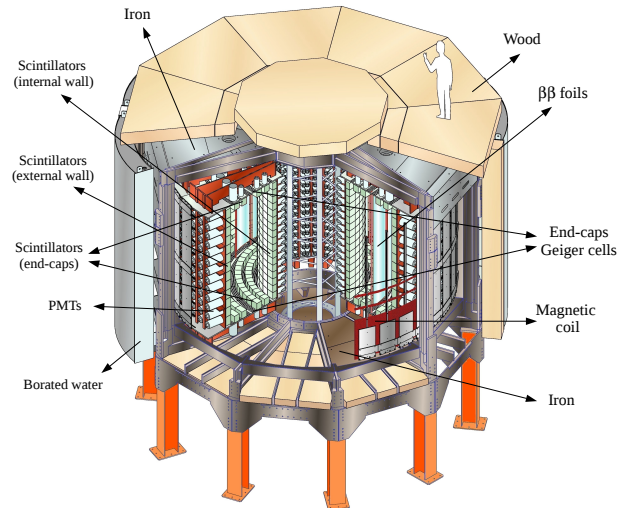


FIG. 1: A schematic view of the NEMO-3 detector, showing the double β source foils, the tracking chamber, the calorimeter composed of scintillator blocks and PMTs, the magnetic coil and the shield.

Several double β decay sources are installed in the detector. The main isotope used to search for $0\nu\beta\beta$ decays is ^{100}Mo with a total mass of 6.914 kg. Smaller amounts of other isotopes are mainly used to measure $2\nu\beta\beta$ decays, comprising ^{82}Se (0.932 kg, 2 sectors), ^{116}Cd (0.405 kg, 1 sector), ^{130}Te (0.454 kg, 2 sectors), ^{150}Nd (36.55 g), ^{96}Zr (9.4 g), and ^{48}Ca (7 g). In addition, 1.5 sectors of natural tellurium, corresponding to 0.614 kg of TeO_2 , and 1 sector equipped with pure copper (0.621 kg) are used to perform measurements of backgrounds from

processes other than double β decay. There are seven foil strips in each sector. The mean length of the strips is 2480 mm with a width of 65 mm for the five central strips and 63 mm for the two edge strips.

There are two types of ^{100}Mo foils, metallic and composite. The metallic foils were produced in vacuum by heating and rolling ^{100}Mo mono-crystals in the form of foils. To produce the composite foils, thin and chemically purified ^{100}Mo powder was mixed with polyvinyl alcohol (PVA) glue and then deposited between mylar foils with a thickness of 19 μm . The metallic Mo foils were placed in Sectors 02, 03 and 04. There are also five additional strips in Sector 1 and two strips in Sector 5. The total surface of metallic foils is 43924 cm^2 . The total mass of ^{100}Mo in metallic foils is 2479 g and the average percentage of ^{100}Mo enrichment is 97.7%. It corresponds to an average surface density of the metallic foils of 57.9 mg/cm^2 . The composite Mo foils were placed in Sectors 10, 11, 12, 13, 14, 15, and 16. There are also two additional strips in Sector 01 and three strips in Sector 05. The total surface of composite Mo foils is 84410 cm^2 . The total mass of ^{100}Mo in composite foils is 4435 g and the average percentage of ^{100}Mo enrichment is 96.5%. The total mass of components (Mo, PVA and Mylar) is 5569 g. It corresponds to an average surface density of the composite foils of 66.0 mg/cm^2 .

On both sides of the source foils, a gaseous tracking detector comprising 6180 open drift cells operating in Geiger mode provides three-dimensional track information. We use a cylindrical coordinate system with the z -axis pointing upwards. The drift cells are oriented parallel to the z -axis and provide measurements of the transverse and longitudinal coordinates of the track.

To minimize multiple scattering, the gas is a mixture of 94.9% helium, 4% ethyl alcohol, 1% argon, and 0.1% water vapor for a total volume of about 28.5 m^3 . The average transverse and longitudinal resolutions of the Geiger cells are 0.5 mm and 0.8 cm, respectively. If the two electron tracks from a double β decay are constrained to originate from the same vertex in the foil, the transverse and longitudinal vertex resolutions, defined as the r.m.s. of the distance between the intersection points of the two individual tracks with the foil, are 0.6 cm and 1.0 cm, respectively. These resolutions are sufficient to discriminate between decays from different source foils and isotopes.

The energy and time-of-flight of particles are measured by polystyrene scintillators surrounding the tracking detector. We use the time-of-flight to discriminate between signal events emitted from the foil and background events where particles crossed the foil. To further increase acceptance, the end caps (the top and bottom parts of the detector, named petals) are also equipped with scintillators in the spaces between the drift cell layers. The calorimeter is composed of 1940 optical modules, which consist of large scintillator blocks, with a typical size of $20 \times 20 \times 10 \text{ cm}^3$, coupled to low radioactive photomultipliers (PMTs). The external wall of the calorime-

ter is equipped with 5-inch PMTs and the internal wall with 3-inch PMTs, and the end caps with both PMT types. The average energy resolution of the calorimeter is $\sigma_E/E = 5.8\%/\sqrt{E(\text{MeV})}$ for the scintillators equipped with 5-inch PMTs, and $\sigma_E/E = 7.2\%/\sqrt{E(\text{MeV})}$ for the scintillators equipped with 3-inch PMTs.

Photons are identified as hits in the calorimeter where no electron track points at the scintillator block. The scintillator blocks with a thickness of 10 cm yield a high photon detection efficiency of 51% (33%) for a photon of energy 1 MeV (3 MeV) at a normal angle of incident.

A solenoidal magnet surrounding the detector provides a magnetic field of 25 G used to discriminate between electrons and positrons with an efficiency of about 95% at an electron/positron energy of 1 MeV. An external shield with a thickness of 19 cm constructed of low-radioactive iron, a borated water shield, and a wood shield surround the detector to reduce background from external γ rays and neutrons. Calibrations are performed by inserting calibrated radioactive sources into the detector through dedicated tubes installed between each sector in the plane of the foils.

During the first data taking period, labeled Phase I, from February 2003 until October 2004, the dominant background to the $0\nu\beta\beta$ signal was contamination from radon (^{222}Rn) in the tracking chamber. Radon contamination in the tracking chamber is measured by detecting electrons from β decay of ^{214}Bi , accompanied by a delayed α particle from ^{214}Po decay. To detect delayed α particles, every hit inside the wire chamber arriving with a delay of up to 700 μs is read out with dedicated electronics. The ^{222}Rn activity of about 30 mBq/m^3 inside the tracking chamber during Phase I is caused by a low rate of diffusion of ^{222}Rn from the laboratory hall, with an activity of around 15 Bq/m^3 , into the detector. This contamination was significantly reduced, by a factor of about 6, by the installation of a radon-tight tent enclosing the detector and a radon trapping facility in December 2004. The second data taking period between December 2004 until the end of running in December 2010 (Phase II) therefore has a reduced radon gas contamination of around 5 mBq/m^3 . Data from both Phases are presented in this Article.

The trigger conditions used for recording double β candidate events require at least one PMT signal with an amplitude greater than 50 mV, corresponding to an energy of $> 150 \text{ keV}$ deposited in the associated scintillator, in coincidence with at least three hits in the tracking detector within a time window of 6 μs recorded in the same half-sector of the detector as the scintillator hit. Additional PMT signals with an amplitude of $> 10 \text{ mV}$, corresponding to an energy deposit of $> 30 \text{ keV}$, are also recorded if they coincide within a time window of 80 ns. The trigger rates of the data acquisition are about 7 Hz for Phase I and about 5 Hz for Phase-II. The dead time of the data acquisition is measured to be 1% and is treated as an inefficiency.

Monte Carlo (MC) simulations are performed with

a GEANT3-based [8] detector simulation using the DECAY0 [9] event generator. The time-dependent status and conditions of the detector and its performance are taken into account in the detector simulation.

In this Article, we present a search for $0\nu\beta\beta$ decays using data recorded between February 2003 and October 2010, with a live time of 1.02 yr in Phase I and 3.94 yr in Phase II, and a total mass of 6914 g of ^{100}Mo in the form of metallic and composite foils. This corresponds to a total exposure of 34.3 kg.yr.

III. CALIBRATION

A. Energy scale calibration and resolution

Absolute energy calibrations of the calorimeter optical modules were carried out every month using ^{207}Bi sources which provide internal conversion electrons with energies of 482 keV and 976 keV from the K lines, with branching ratios of 1.5% and 7.1%, respectively. Each calibration run has a length of about 24 hours. In addition, a dedicated long run was performed using a ^{90}Sr source since the end point of the β spectrum of ^{90}Y , a daughter nucleus of ^{90}Sr , provides an additional high-energy point at an energy of 2279 keV.

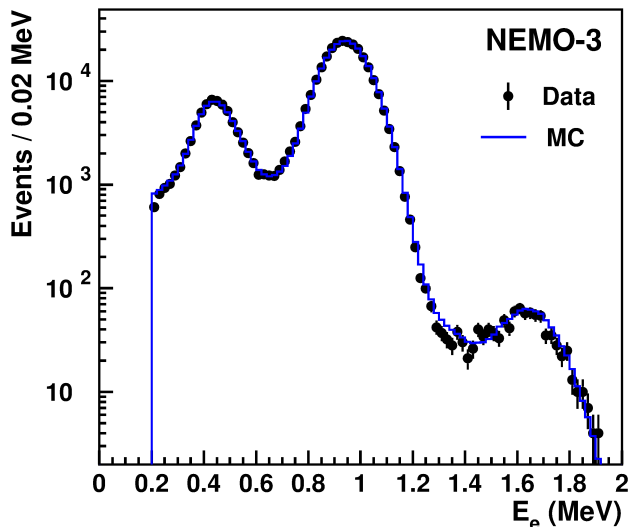


FIG. 2: Energy spectrum of a typical scintillator block, measured with the ^{207}Bi calibration sources and summed over all the calibration runs. The data points are compared to a histogram of the energy spectrum calculated by the MC simulation. The peaks correspond to the energies of electrons from the main 482 keV, 976 keV and 1682 keV internal conversion K lines of ^{207}Bi .

The response of each scintillator block to electrons with an energy of 976 keV is measured as a function of the impact position of the electron track on the entrance surface of the scintillator using ^{207}Bi calibration runs. A depen-

dence on impact position was previously observed with data obtained with the electron spectrometer during the NEMO-3 calorimeter assembly. The impact position is sampled by dividing the entrance surface of the scintillator blocks in 3×3 equal squares for the blocks equipped with 3-inch PMTs, and 5×5 equal squares for the blocks equipped with 5-inch PMTs, corresponding to 3×3 and 5×5 corrections points respectively. The impact corrections are small for the scintillator blocks equipped with 3-inch PMTs, typically 1%–2%, but they can increase up to 10% for 5-inch PMT scintillator blocks. This effect is corrected offline by applying different impact correction factors for each scintillator block type.

The linear fit combining the energy calibration obtained with the two ^{207}Bi energy peaks and the end point of the ^{90}Y β spectrum does not intersect with the origin, because the scintillator response for electrons at low energies (< 100 keV) is non-linear. The extrapolated energy offset at a charge of $Q_{\text{ADC}} = 0$ is on average 33 ± 3 keV. It is determined after subtracting the electronic pedestal of the Analog-to-Digital Converters (ADCs) used to read out the PMTs and accounting for an impact point correction. This offset is taken into account in the energy calculation. An example of a calibration energy spectrum obtained for one counter can be found in Ref [4]. Limits were placed on the non-linearity of the PMTs with a dedicated light injection test during the construction phase and found to be $< 1\%$ for energies < 4 MeV, corresponding to the energy range of interest for double β decay measurements.

The rare internal conversion electron K line of ^{207}Bi with an energy of 1682 keV has a small branching ratio of 0.02%. It is used to determine the systematic uncertainty on the energy scale from the difference between the reconstructed peak position in data and MC simulation, which is $< 0.2\%$ for 99% of the optical modules. The remaining optical modules of the calorimeter with incorrect reconstruction of the energy peak are rejected in the analysis. A typical energy spectrum measured with a single optical module is shown in Figure 2.

Figure 3 shows the average energy resolution as a function of running time for the different types of scintillator blocks and PMTs. The resolution at an electron energy of 1 MeV ranges from $\sigma_E/E = 5.7\%$ to 8.0% , depending on the type of block and the data taking period. A deterioration of the energy resolution of 0.03% – 0.05% and 0.06% – 0.14% per year is observed for the blocks equipped with 5 and 3-inch PMTs, respectively. This drift might be caused by the residual helium concentration in the air surrounding the detector that leads to after-pulsing of the PMTs. The helium concentration in the central tower of the NEMO-3 detector, where most of the 3-inch PMTs are located, is higher than in other regions of the detector, which could explain the larger drift in this region.

The expected full width at half maximum (FWHM) of the spectrum of two electrons energy sum in $0\nu\beta\beta$ decays is 350 keV. It is a convolution of the energy resolution

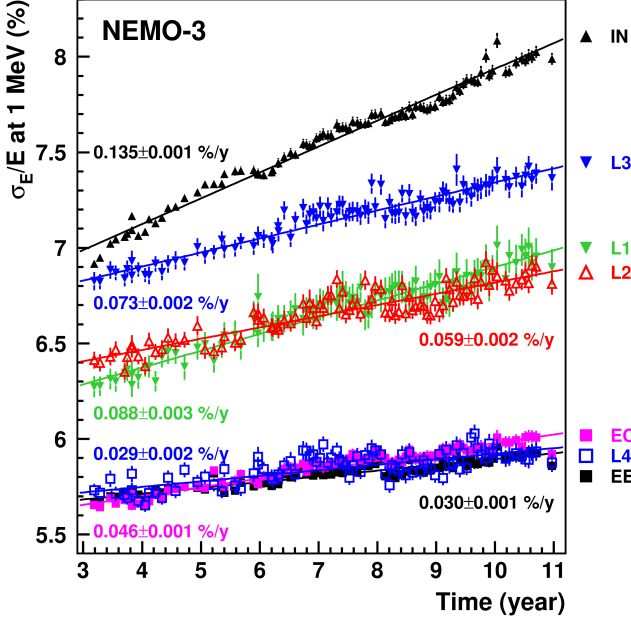


FIG. 3: Average energy resolution σ_E/E measured at $E = 1$ MeV for the different types of scintillator blocks and sizes of PMTs as a function of NEMO-3 running time. Here, IN refers to the calorimeter blocks with 3-inch PMTs located in the central tower (inner wall of the calorimeter), L1, L2 and L3 refer to 3-inch PMTs located on the upper and lower end caps, EC and EE refer to 5-inch PMTs located on the external wall, and L4 to 5-inch PMTs on the upper and lower end caps of the calorimeter (see Figure 3 in [4] for the exact location of the different types of scintillator).

of the calorimeter and of the non-Gaussian fluctuations in the electron energy loss, which occur mainly in the source foil and to a lesser extent in the tracking detector. In the absence of energy loss fluctuations in the foil, the expected FWHM would be about 250 keV.

After close to eight years of stable operation of the experiment, fewer than 10% of PMTs had to be turned off because they displayed unstable gain or noisy signals. The fraction of dead PMTs as a function of the NEMO-3 running time is presented in Figure 4. The fraction of PMTs with noisy signals in the recorded data is estimated by measuring the random coincidence rate of scintillator hits with a constant timing distribution. The same fraction of PMTs is randomly rejected in the MC simulation, leading to a reduction of the $0\nu\beta\beta$ detection efficiency of 0.9% in Phase I and 2.4% in Phase II.

B. Laser survey

The stability of the PMT gains between two consecutive absolute ^{207}Bi calibration runs is maintained using dedicated laser runs which were performed twice daily. The laser beam is split and transmitted to two different

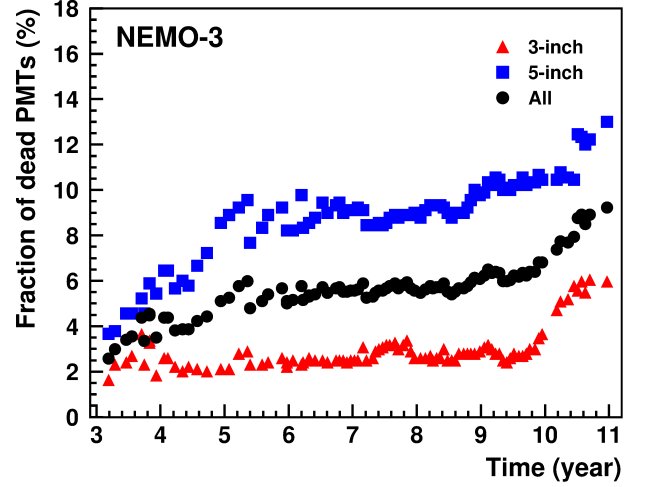


FIG. 4: Fraction of dead PMTs (in %) as a function of NEMO-3 running time for 3 and 5-inch PMTs, and for all PMTs.

devices to calibrate the 3 and 5-inch PMTs separately. A description of the laser system is given in [4]. Data taking is divided into successive laser survey periods that are separated by major incidents such as a general shutdown of the high voltage crates or any other event that could cause a discontinuity in the operating conditions of the PMTs.

The laser survey measures the time dependence of the average response of all PMTs of the same size to monitor the variation of gains. The mean energies $\langle e_3(t) \rangle$ and $\langle e_5(t) \rangle$ of the two different sets of 3 and 5-inch PMTs calculated for each laser run at a recording time t are given by

$$\langle e_{3,5}(t) \rangle = \frac{\sum_k g_k^{\text{calib}}(t) Q_{\text{ADC}}(k, t)}{N_{3,5}(t)}, \quad (1)$$

where the sum extends over the 3 or 5-inch PMTs. Here, $Q_{\text{ADC}}(k, t)$ and $g_k^{\text{calib}}(t)$ are the recorded charge after pedestal subtraction and the laser calibration constant for the PMT labeled k and for the laser run recorded at time t . The numbers of 3 and 5-inch PMTs recorded during a laser run are $N_3(t)$ and $N_5(t)$, respectively. The parameters $\eta(k, t)$ are calculated for each PMT

$$\eta(k, t) = \frac{g_k^{\text{calib}}(t) Q_{\text{ADC}}(k, t)}{\langle e_{3,5}(t) \rangle} \quad (2)$$

depending on its type. The parameters $\eta(k, t)$ are divided by $\langle \eta_0(k) \rangle$, which is the mean value of $\eta(k, t)$ during the associated absolute energy ^{207}Bi calibration run, to calculate the final laser correction factor of

$$C(k, t) = \eta(k, t) / \langle \eta_0(k) \rangle. \quad (3)$$

The time dependence of the correction factors is analysed to characterize the level of stability of the PMT

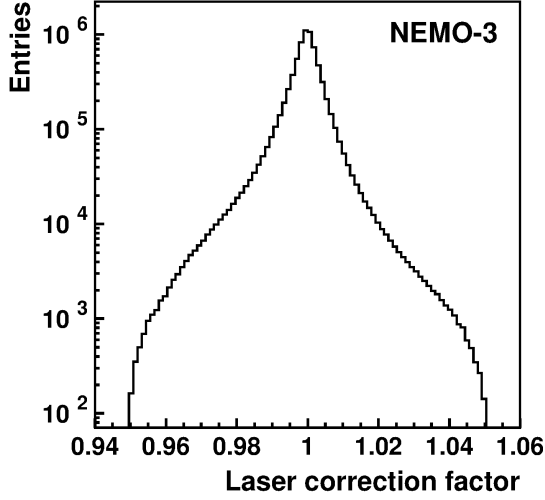


FIG. 5: Distribution of the laser correction factors calculated for all laser runs and for all stable PMTs used in the analysis.

gains during data taking. A large change of the correction factor or discontinuities during a period between two absolute energy calibrations are interpreted as an instability, and the corresponding PMT and associated events are rejected for that period. For each PMT, we estimate its stability during that period, by determining the number of laser runs for which the correction factor deviates 5%. During the entire data taking period, 82% of PMTs are considered to be stable. Taking into account that more than 90% of data are recorded with a reliable laser survey, the efficiency to select a double β event is reduced by 25.2% in Phase II when the laser survey is applied, as reported in Table II. The efficiency reduction is 38.6% in Phase I because of a less stable laser during this first phase of data. The distribution of the laser correction factors for all laser runs is shown in Figure 5 for the stable PMTs.

The reliability of the laser survey procedure is validated by analysing a pure sample of electrons with an energy close to the end point of $Q_\beta = 3.27$ MeV in the β energy spectrum of ^{214}Bi decays occurring in the tracking chamber (Figure 6a). Any excess in data over the MC expectation around Q_β would be a sign of unstable PMT gains. The events are selected by requiring electrons in coincidence with a delayed α track from the ^{214}Bi - ^{214}Po cascade (“BiPo events”).

The entire data set is used in this analysis. The selection of BiPo events is similar to the one used for the radon background measurement, described in Section V B. Here, only BiPo events with a vertex inside the tracking chamber are selected, and the electron track length is restricted to > 45 cm. Electrons crossing the source foils are rejected, since they could have lost energy in the foils.

To minimize the proportion of re-firing Geiger cells, we

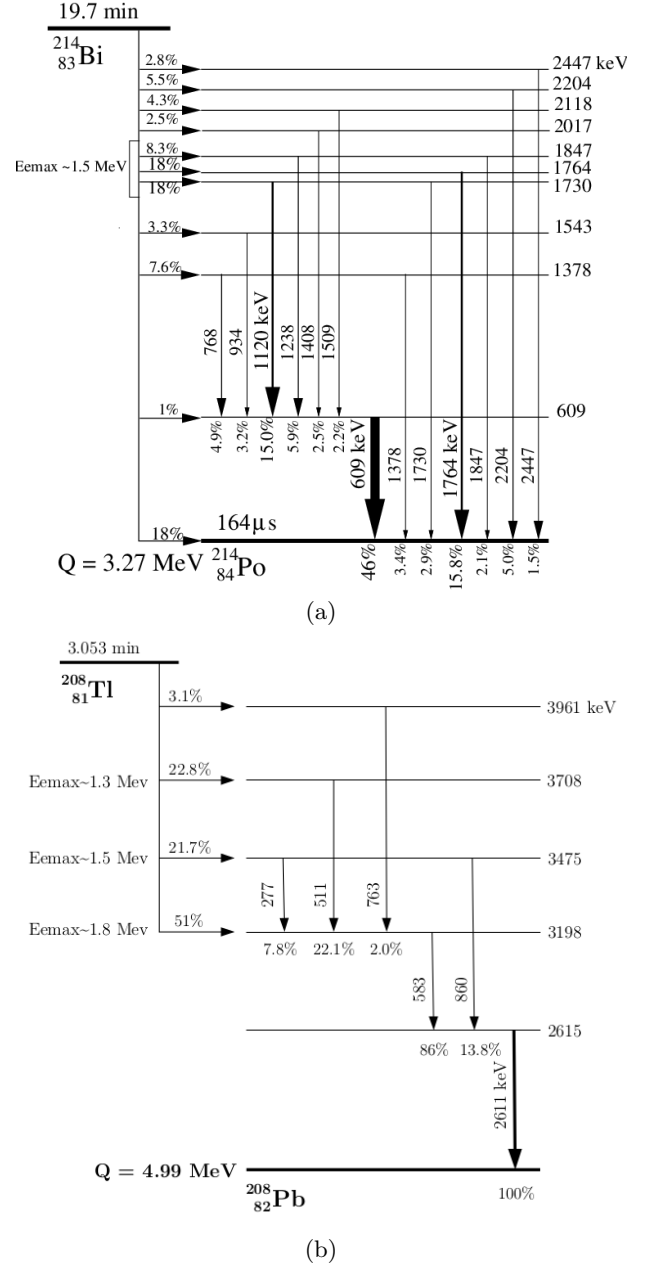


FIG. 6: Simplified decay diagrams for (a) ^{214}Bi and (b) ^{208}Tl [10]. In the DECAY0 event generator used in the simulations, the full schemes of the decays are used.

require the α delay time to be $> 140 \mu\text{s}$ for events with only one delayed hit, and $> 70 \mu\text{s}$ for > 1 hits. The delay time distributions are analysed separately for each Geiger plane, on each side of the source foils, and for 1, 2, 3, and > 3 delayed hits. Regions within the tracking chamber with a significantly increased fraction of random coincidences or re-firing cells are excluded. These effects are therefore negligible in the selected data set.

The electron energy spectra obtained from this analysis are shown in Figure 7, for Phases I and II separately,

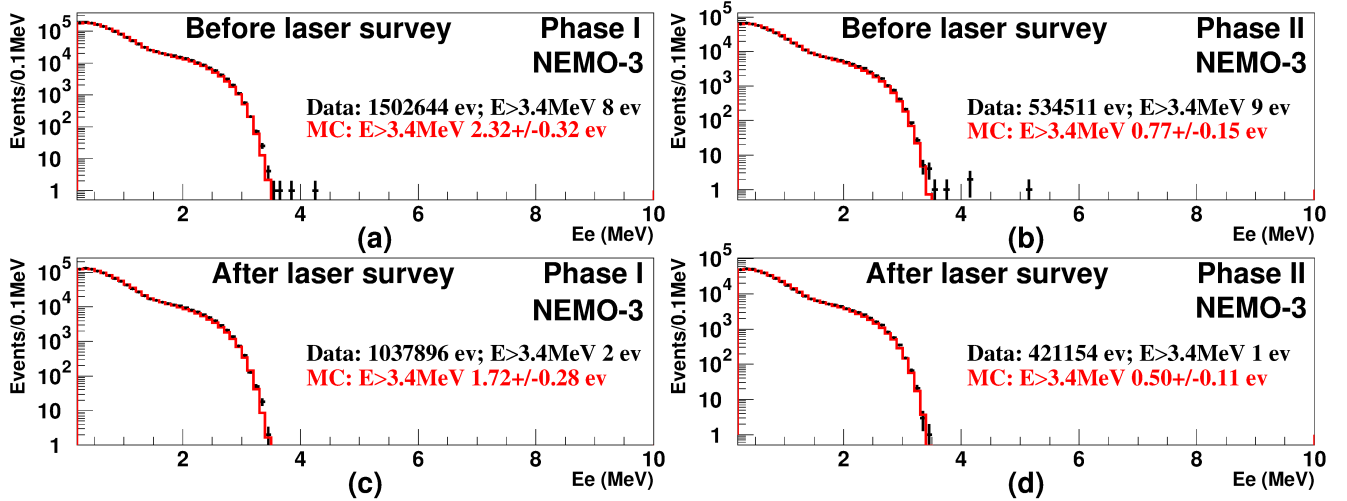


FIG. 7: Energy spectrum of electrons from β decay of ^{214}Bi measured using BiPo events inside the tracking detector, without laser survey (a,b) and after laser survey (c,d) for Phase I (a,c) and Phase II (b,d). The data are compared to a MC simulation. The excess of electrons observed at $E_e > 3.4$ MeV in data are caused by PMTs with unstable gains. They are rejected by the laser correction (see Table I).

	Phase 1		Phase 2	
	Data	MC	Data	MC
No laser correction	8	2.32 ± 0.32	9	0.77 ± 0.15
With laser correction	2	1.72 ± 0.28	1	0.50 ± 0.11

TABLE I: Numbers of BiPo events with $E_e > 3.4$ MeV

before and after applying the laser corrections. They are compared to the expected background from the MC simulation, assuming the ^{214}Bi activity on the surfaces of wires and foils, and the ^{214}Bi activity inside the foils described in Section V. The number of data and MC events without laser correction and after applying the laser correction is given in Table I. The improved agreement demonstrates the reliability of the laser survey at the end point of the β energy spectrum.

C. Timing calibration and time-of-flight

Time-of-flight measurements are used to discriminate between two-electron events from double β decays emitted from the source foil and events where an external electron crosses the detector and foil. The crossing electron in these events could be reconstructed as two separate tracks with a common vertex.

To perform a calibration of the timing measurement of the optical modules, we select crossing-electron events from a dedicated run with an external Am-Be neutron source. For each optical module we simultaneously determine the absolute time shift and the time-charge cor-

rection, which corrects the measurement of the Time-to-Digital Converter (TDC) as a function of Q_{ADC} . The daily laser surveys are used to identify and correct any variation of the TDC response. This laser timing correction is calculated separately for each optical module and laser survey run. In an iterative procedure, we then calculate the absolute time shifts using events with (γ, e^-) final states selected from runs with a ^{207}Bi source. The average timing resolution of a scintillator hit is about 250 ps for a 1 MeV electron.

The time-of-flight analysis is based on a comparison between the measured and expected time differences of the two scintillator hits. The expected time-of-flight is calculated assuming two different hypotheses: the external hypothesis corresponding to a crossing electron and the internal hypothesis corresponding to two electrons being emitted simultaneously from the same vertex on the foil in a double β decay. The time-of-flight calculation also accounts for the length of the tracks and the energy loss in the tracking detector. To correctly take into account uncertainties on the timing measurement, we calculate separate probabilities for internal two-electron events (P_{int}) and external crossing-electron events (P_{ext}). The distributions of the difference ΔT between the measured and theoretical time differences of the two scintillator hits, calculated assuming the internal hypothesis, is shown in Figure 8a for the full sample of two-electrons events selected using all criteria described in Section IV, except the requirement on the time-of-flight. The P_{int} distribution shown in Figure 8b is constant above $P_{\text{int}} = 1\%$, as expected for double β decays, while the peak at $P_{\text{int}} < 1\%$ corresponds to crossing-

electron events. Internal double β events emitted from the source are centred around $\Delta T = 0$ ns, while crossing-electron events from external background sources have $|\Delta T| > 3$ ns. The r.m.s. of the ΔT distribution for $P_{\text{int}} > 1\%$ is 490 ps.

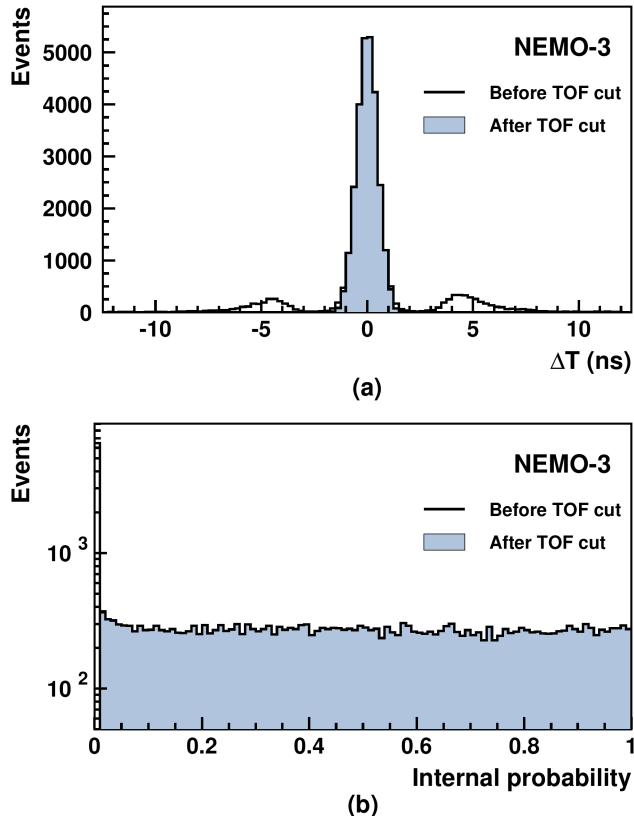


FIG. 8: Distributions of the difference ΔT between the measured and expected time differences of scintillator hits for the internal hypothesis (a) and the internal probability P_{int} (b) for two-electron events. The superimposed shaded histogram shows events with $P_{\text{int}} > 1\%$.

IV. SELECTION OF DOUBLE β DECAY EVENTS AND EFFICIENCY

Candidate double β decay events are selected by requiring exactly two electron tracks. Events with more than two tracks are rejected.

- Each track must be associated with a scintillator hit, and the extrapolated track must hit the front face of the scintillator block and not the lateral side of petal blocks. The associated scintillator hits must be isolated, i.e., no hits are found in neighboring scintillator blocks, and only a single track can be associated with the scintillator block. Events with a γ candidate, defined by a scintillator hit that is not associated to a track, are rejected.

- The two electron tracks must originate from a common vertex in the ^{100}Mo source foil. We therefore require that the transverse and longitudinal components of the distance between their intersection points with the foil are less than 4 cm and 8 cm, respectively.
- To reject background from ^{214}Bi decays near the foil, the number of unassociated hits in the tracking detector close to the vertex should not exceed one. When the two tracks are on the same side of the foil, there must be no unassociated hit on the opposite side of the foil close to the vertex.
- The energy of each electron as measured in the calorimeter must be > 200 keV.
- The curvature of both tracks must be negative to reject positrons.
- The time-of-flight must correspond to the two electrons being emitted from the same vertex in the source foil, requiring $P_{\text{int}} > 1\%$ and $P_{\text{ext}} < 1\%$. To ensure a reliable time-of-flight measurement, the track length of each track must exceed 50 cm. Events with hits in scintillator blocks from the innermost circle of petals are rejected.
- Events with delayed tracker hits close to the electron tracks are rejected to reduce ^{214}Bi and radon background (see Section VB). The delay time of these hits is required to be greater than 100 μs for events with only one delayed hit, and 40 μs , 20 μs , and 4 μs for events with 2, 3, or > 3 delayed hits, respectively. These criteria reduce the sensitivity to spurious hits in cells close to the electron track.
- Events are rejected if a scintillator hit is linked to a PMT that has been flagged by the laser survey as having unstable gain.

A typical double β event is shown in Figure 9. Only events with an energy sum $E_{\text{tot}} > 2$ MeV for the two electrons are considered in the $0\nu\beta\beta$ search. The efficiencies to select $0\nu\beta\beta$ events are calculated using the MC simulation, and are given in Table II after each successive application of the selection criteria. The $0\nu\beta\beta$ signal selection efficiency is 11.3% for Phase I and II combined and $E_{\text{tot}} > 2$ MeV. It reduces to 4.7% in the energy window $E_{\text{tot}} = [2.8 - 3.2]$ MeV around $Q_{\beta\beta}$. If the inefficiency due to noisy Geiger cells and unstable or dead PMTs is removed, these efficiencies increase to 20.3% and 8.5%, respectively.

The uncertainty on the signal efficiency is determined using dedicated runs with two calibrated ^{207}Bi sources, with a low activity of around 180 Bq, at four opposite locations inside the detector. The runs were taken in March 2004, June 2004 and April 2006. The two conversion electrons emitted simultaneously by the ^{207}Bi sources are

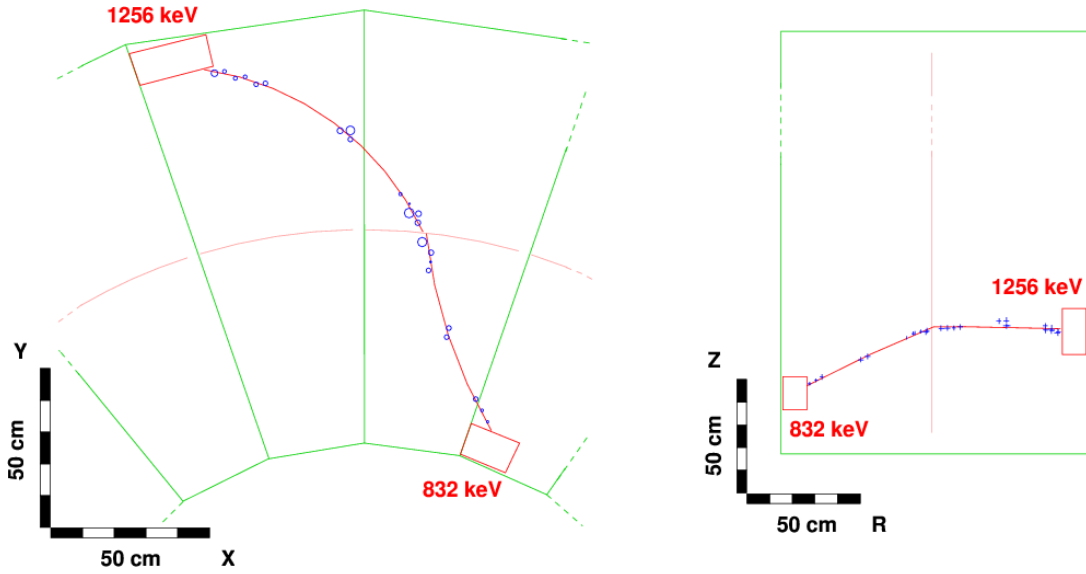


FIG. 9: Transverse and longitudinal view of a reconstructed double β data event. Tracks are reconstructed from a single vertex in the source foil, with an electron-like curvature in the magnetic field, and are each associated to an energy deposit in a calorimeter block.

selected. The reconstructed ^{207}Bi activities are in agreement with the nominal values within 7%, which is consistent within the expected systematic uncertainty.

Selection Criteria	Ideal	Phase I	Phase II
Trigger	0.973	0.973	0.973
Two tracks reconstructed	0.480	0.478	0.462
Track-scintillator association	0.352	0.348	0.331
Associated PMTs not dead	0.352	0.321	0.288
No extra scintillator hit	0.313	0.287	0.258
Scintillator correctly calibrated	0.313	0.281	0.245
Common track vertex in foil	0.280	0.251	0.218
Tracks have hits near foil	0.273	0.244	0.211
No extra prompt hits near vertex	0.271	0.242	0.209
Track length > 50 cm	0.252	0.225	0.194
Scintillator energy > 200 keV	0.245	0.219	0.189
Negative track curvature	0.223	0.199	0.172
Isolated scintillator blocks	0.219	0.195	0.169
No scintillator at petals near foil	0.209	0.186	0.161
Timing requirement	0.206	0.184	0.159
Reject α particles	0.206	0.184	0.159
Energy laser survey	0.206	0.113	0.119
$E_{\text{tot}} > 2 \text{ MeV}$	0.204	0.111	0.117
$E_{\text{tot}} > 2.8 \text{ MeV}$	0.085	0.047	0.049

TABLE II: Evolution of the $0\nu\beta\beta$ efficiency as a function of the successive criteria of selection for Phase I and II. “Ideal” refers to the detector without any noisy Geiger cell neither unstable or noisy PMTs.

V. BACKGROUND MEASUREMENTS

The NEMO-3 detector is unique in its ability to identify electrons, positrons, γ rays and delayed α particles by combining information from the tracking detector, calorimeter, and the track curvature in the magnetic field. This allows the separation of different non double β background processes by exploiting differences in their event topologies and final states. We distinguish three background components, as illustrated in Figure 10, namely the external background, the internal background, and the background from radon. We first measure the external background. Then, the radon and thoron backgrounds inside the tracking detector are measured, setting the external backgrounds to their measured values. Finally, the internal ^{208}Tl and ^{214}Bi contaminations inside the $\beta\beta$ source foils are determined, with all other backgrounds fixed. A full description of the background analysis and preliminary background measurements with part of the NEMO-3 data set are given in Ref. [11]. Here, we report the results of the background measurements using the full data set.

A. External background

External background is produced by the interaction of external γ rays originating from the natural radioactivity of the detector outside of the source, by external neutrons undergoing neutron capture that results in emission of γ rays, or by cosmic rays. If an external γ ray is not detected by a scintillator, it can reach the source foil without being tagged. It can then mimic a $\beta\beta$ event by cre-

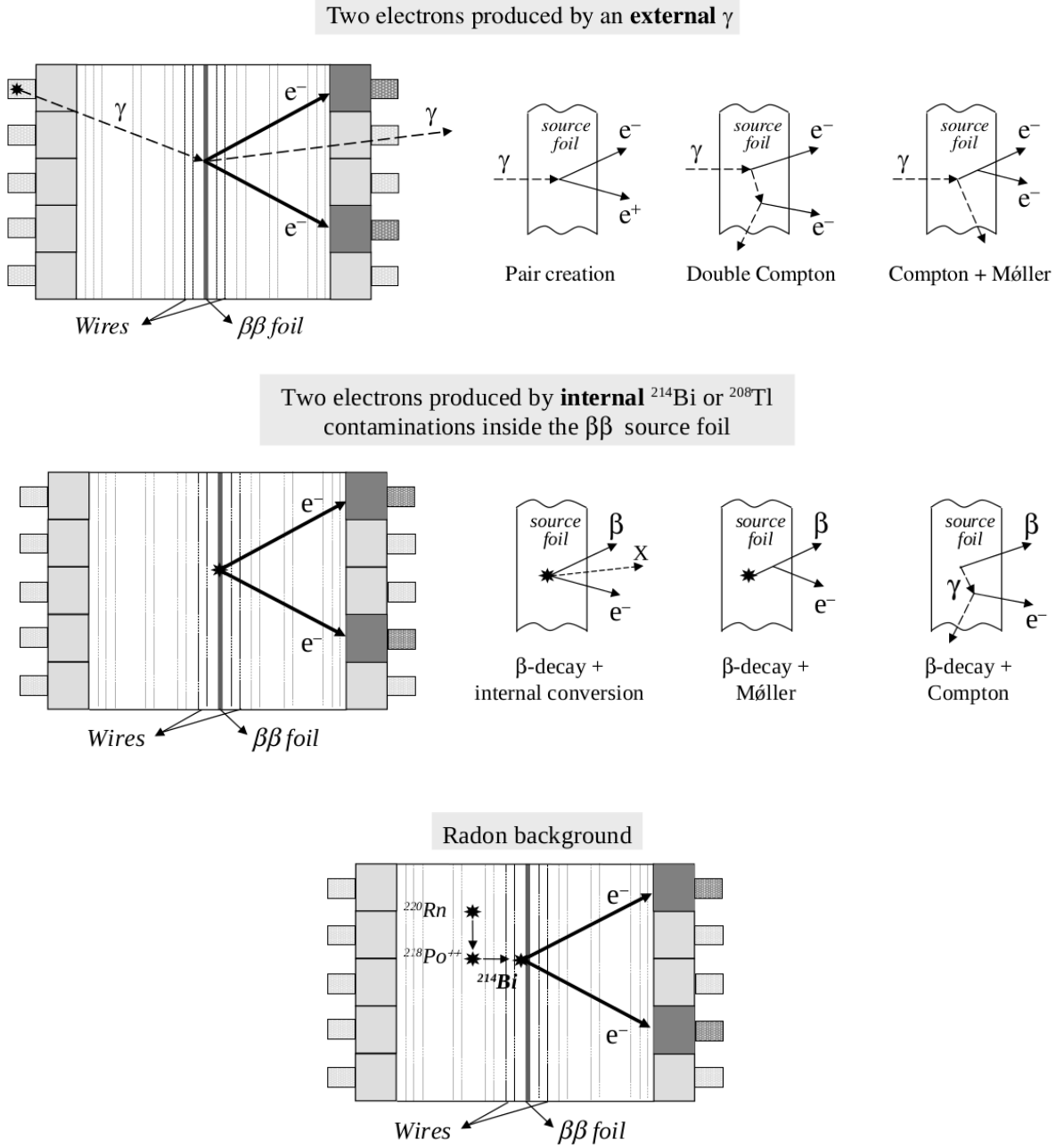


FIG. 10: Schematic view of the different components of the two-electron background: the external background produced by an external γ ray, the internal background produced by internal ^{214}Bi and ^{208}Tl contaminations in the ^{100}Mo source foil, and the radon contamination inside the tracking detector.

ating an e^+e^- pair, if the two photons from a subsequent positron annihilation remain undetected or the sign of the positron track curvature is incorrectly measured. Double or single Compton scattering followed by Möller scattering can also lead to a double β -like topology. The different mechanisms are illustrated in Figure 10.

We measure the external background using both external (γ, e^-) and crossing-electron events, as illustrated in

Figure 11. External (γ, e^-) events are selected requiring one isolated scintillator hit, assumed to be from the γ ray, and one electron track coming from the source foil and associated with a different scintillator. The time difference between the scintillator hits must agree with the hypothesis that an external γ ray has hit the first scintillator block before producing a Compton electron in the foil.

Crossing electrons leave a track that traverses the detector and is associated with a scintillator hit on either side with a time-of-flight and a curvature consistent with a crossing electron. In this topology, an external γ hits the first scintillator block from outside and then creates an electron by Compton scattering in the last few millimeters of the scintillator closest to the tracking detector. This Compton electron crosses the detector including the foil before hitting the second scintillator, depositing its entire energy.

The external background is modelled by fitting the data in both channels assuming contaminations of ^{214}Bi from ^{238}U and ^{208}Tl from ^{232}Th decays, ^{40}K inside the PMTs, scintillators, iron shield and iron structure, cosmogenic ^{60}Co inside the mechanical structure, and external γ rays from the laboratory environment.

The ^{208}Tl and ^{214}Bi contaminations inside the PMTs are the dominant components of the external background in the range $E_{\text{tot}} > 2$ MeV. Their activities have been set to the values quoted in our previous background measurement with part of the NEMO-3 data set [11]. Activities of other components in the MC simulation are fitted to the data using a combined fit to the distributions of the electron energy E_{e^-} , the γ energy E_γ , the sum of the energy $E_{e^-} + E_\gamma$, and the angle between the reconstructed γ direction and electron track.

Figure 12 shows the energy spectra of the Compton electrons for external (γ, e^-) events and the energy measured in the last scintillator block hit (E_e^{out}) for crossing electrons. The fitted MC background model agrees with the data and lies within the 10% systematic uncertainty of the previous results obtained with a smaller data set [11]. It is also consistent with the radioactivity measurements of the detector materials using high-purity germanium (HPGe) detectors before installation [11].

The neutron contribution to the external background is measured with dedicated runs performed with an Am-Be neutron source located outside of the shield. The data provide the energy spectra of Compton electrons created by external neutrons in the (γ, e^-) and crossing-electron channels. These spectra are then used in the fit of the external background model in Figure 12. The contribution of neutrons to the external background is negligible for $E_{\text{tot}} < 2.6$ MeV, which corresponds to the energy of the γ line of ^{208}Tl , but neutrons dominate at higher energies. The good agreement between data and expected background from neutrons shows that the measurement performed with the Am-Be source correctly emulates the expected external background induced by neutrons for $E_{\text{tot}} > 2.6$ MeV, and can be used to estimate the expected background in the $0\nu\beta\beta$ energy range. Only six double β -like events with vertices in the ^{100}Mo foils and $2.8 < E_{\text{tot}} < 3.2$ MeV are observed in the Am-Be neutron data. With the normalization factor obtained from the fit of the external background in Figure 12, we obtain a negligible expected background rate of 0.03 ± 0.01 events for the combined Phase I and II data sets in the energy range $2.8 < E_{\text{tot}} < 3.2$ MeV consistent

with a $0\nu\beta\beta$ signal. The expected number of double β -like events for $E_{\text{tot}} > 4$ MeV is 0.14 ± 0.03 and is also negligible.

The neutron background model is further studied using events with e^+e^- pairs, where external neutrons are the only expected component of the background for $E_{\text{tot}} > 4$ MeV. The criteria to select e^+e^- events are the same as the ones used to select two-electrons events (see Section IV), except that the curvatures of the two tracks are required to be of opposite sign. For $E_{\text{tot}} > 4$ MeV, we observe 2 e^+e^- events, in agreement with the expectation of 1.1 ± 0.1 neutron events. The E_{tot} distribution for these events is shown in Figure 13.

B. Radon and thoron contaminations

Radon and thoron are both found inside the tracking detector. Radon (^{222}Rn) with a half-life of $T_{1/2} = 3.824$ days and thoron (^{220}Rn) with $T_{1/2} = 55.6$ s are α -decay isotopes that have ^{214}Bi and ^{208}Tl as daughter isotopes in their respective decay chains. Radon and thoron emanate from the rock into the air, from where they diffuse into the detector and contaminate the interior of the tracking chamber. They can also emanate directly from the detector materials inside the tracking chamber. Subsequent α decays of these rare gases produce ^{214}Pb or ^{212}Pb ions, which drift mainly to the cathode wires. If they are deposited on wires close to source foils, their decays can mimic a $\beta\beta$ decay, as illustrated in Figure 10. Contamination from thoron is much lower than from radon since the shorter half-life makes it less likely for thoron to emanate and diffuse into the detector.

The radon contamination is measured by detecting BiPo events, where the electron from β decay of ^{214}Bi , a daughter of ^{222}Rn , is followed by a delayed α particle from the decay of ^{214}Po , which has a short half-life of $164 \mu\text{s}$. Additional photons may also be emitted and detected. A BiPo event in the NEMO-3 detector is identified by requiring an electron track inside the wire chamber associated with a scintillator hit, and at least one delayed hit in the tracking chamber close to the emission point of the electron, due to the delayed α particle. The delay time is required to be at least $100 \mu\text{s}$ for events with only one delayed hit, and at least 40, 20, and $4 \mu\text{s}$ for events with 2, 3 and > 3 delayed hits, respectively, to reject hits where electrons have caused neighboring Geiger cells to re-fire. Applying these criteria, the mean efficiency to select a BiPo event produced on the surface of a wire is estimated by MC simulations to be 23%.

The time distribution of delayed tracks, shown in Figure 14, is used to demonstrate the purity of the event selection. We fit the sum of an exponential function and a constant term accounting for random coincidences to the data distributions, assuming a ^{214}Po half-life of $T_{1/2} = 164 \mu\text{s}$. For Phase II the fits are applied to delay times larger than $140 \mu\text{s}$ for events with only one delayed hit in the tracking detector, and $80 \mu\text{s}$ and $60 \mu\text{s}$ for

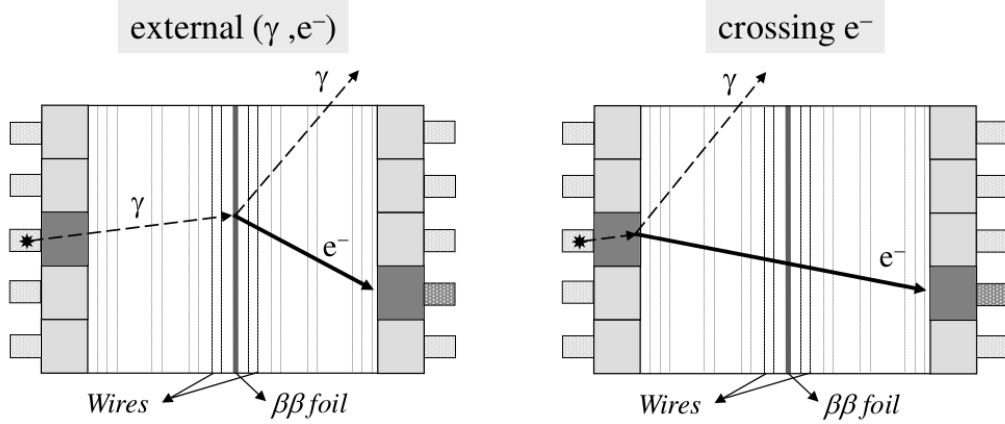


FIG. 11: The two events topologies used to measure the external background: external (γ, e^-) events and crossing-electrons events.

events with 2 or > 2 delayed hits, respectively. Slightly lower minimum delay times are used for Phase I. The very small excess of events over the extrapolated curve at low delay time provides the fraction of re-firing Geiger cells, and the constant term provides the fraction of random coincidences. The contribution of random coincidences and Geiger re-firings, given in Table III, depend on the number of delayed hits and the data taking period. In all cases, they are found to be negligible.

This method allows a daily measurement of the radon activity inside the tracking detector. The average radon activity is about 30 mBq/m^3 in Phase I and about 5 mBq/m^3 in Phase II. Figure 15 shows the spatial distribution of vertices for BiPo events that either originate on the foils or on one of the first two layers of Geiger cells inside the tracking chamber. The activity is larger in Sector 03, which hosts a ^{100}Mo source, than in other sectors. The radon model used for the background simulation includes the contributions of ^{214}Bi deposited on the surface of wires and on the surface of foils.

The systematic uncertainty on the ^{214}Bi background contribution caused by radon contamination is dominated by the uncertainty on the efficiency of the tracking chamber to detect a delayed α decay of ^{214}Bi . It is estimated by independently measuring the activities of the isotope ^{214}Bi using (e^-, α) and (e^-, γ) events. A large fraction of the ^{214}Bi β decays are accompanied by a high energy γ ray emitted from the same point inside the tracking chamber. These (e^-, γ) events are contaminated both by external γ rays that Compton scatter on the wires of the Geiger cells, and by (β, γ) emitters in the wires. To suppress this background, only events with a γ energy $> 1 \text{ MeV}$ are selected.

The ^{214}Bi measurement using (e^-, γ) events suffers from larger background and has an approximately three times smaller detection efficiency compared to the method using delayed tracks. It is sensitive to the systematic uncertainties on γ detection, but it is not affected

by systematic uncertainties on the α detection efficiency. The ^{214}Bi and radon measurement using (e^-, γ) events agree within 10% with the result using an electron and a delayed α track [11].

The ^{208}Tl activity from thoron inside the tracking chamber is measured using $(e^-, \gamma\gamma)$ and $(e^-, \gamma\gamma\gamma)$ events (see next Section). The ^{208}Tl activity is about 0.1 mBq/m^3 , both in Phase I and in Phase II. Taking into account the branching ratio of 36% for producing ^{208}Tl in the ^{232}Th decay chain yields a thoron activity of about 0.3 mBq/m^3 . The MC simulations predict that this thoron activity leads to a background for two-electron events with $E_{\text{tot}} > 2 \text{ MeV}$ that is a factor of 50 smaller than the background originating from radon for Phase I, and a factor of 8 for Phase II. The ^{208}Tl contribution is therefore negligible in the $0\nu\beta\beta$ energy region, and for decays with $E_{\text{tot}} > 2.8 \text{ MeV}$.

Number of Delayed Hits	1	> 1
Phase I		
Random Coincidences	$< 0.03\%$	$< 2.7\%$
Refiring	$< 0.5\%$	$< 2.6\%$
Phase II		
Random Coincidences	$< 0.05\%$	$(1.1 \pm 0.3)\%$
Refiring	$< 0.7\%$	$< 0.7\%$

TABLE III: Contribution of random coincidences and Geiger refrirings in the selection of BiPo events used for the Radon measurement, for the high radon period (Phase I) and the low radon period (Phase II), requiring either exactly one or several delayed Geiger hits. Upper limits are given at 90% C.L.

C. Internal backgrounds

Internal backgrounds originating from radioactive contaminants inside the source foils are mainly due to β

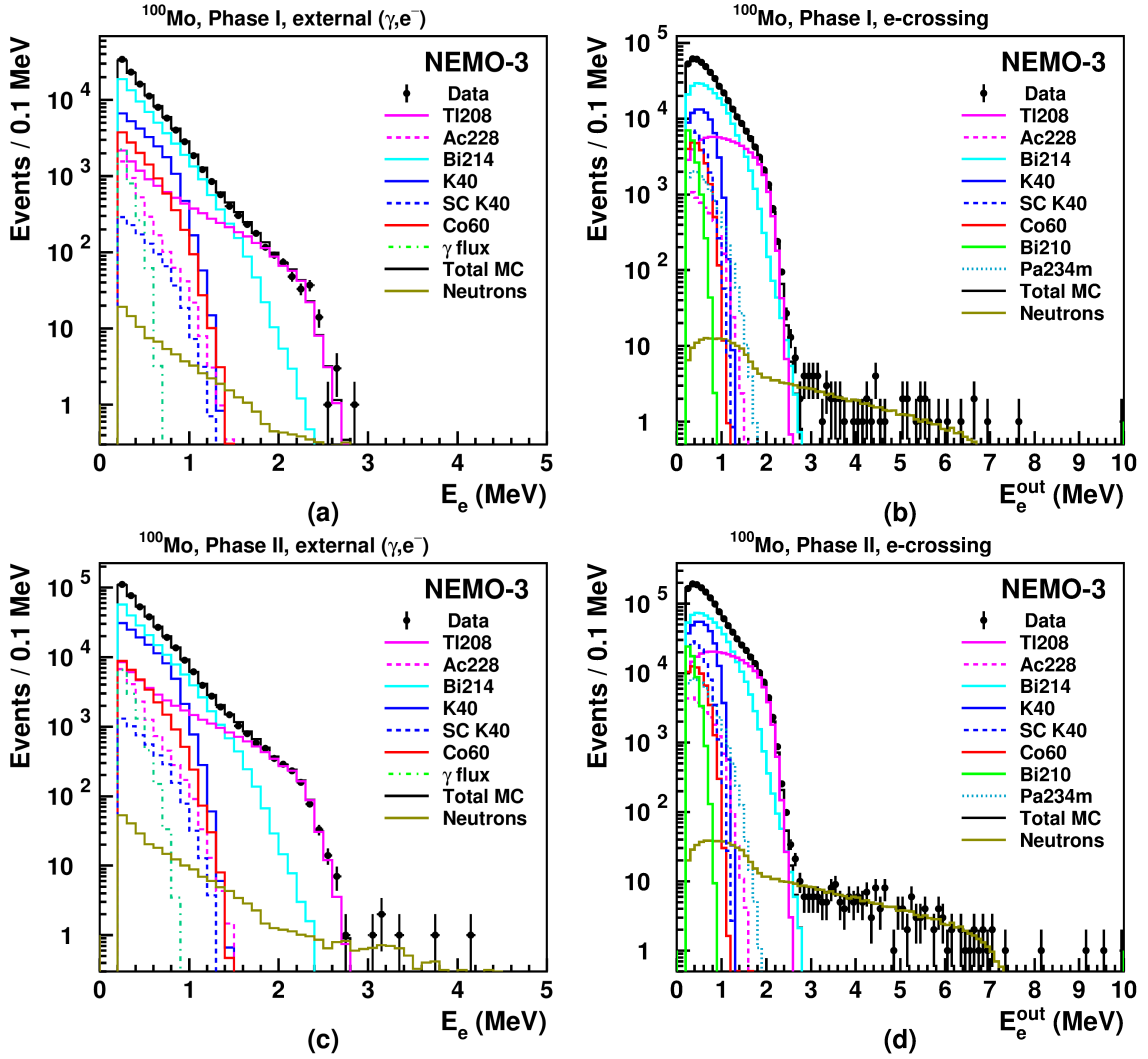


FIG. 12: Result of the fit of the external background to data for the total ^{100}Mo exposure of 34.3 kg·yr, for the electron energy E_e of external (γ, e^-) events (a,c) and the energy E_e^{out} measured in the last scintillator block hit in crossing-electron events (b,d). The distributions are shown separately for Phases I (a,b) and II (c,d). SC K40 corresponds to ^{40}K impurities inside the scintillators.

decay of ^{214}Bi with $Q_\beta = 3.27$ MeV and ^{208}Tl with $Q_\beta = 4.99$ MeV. The two isotopes are products of the ^{238}U and ^{232}Th decay chains, respectively. As illustrated in Figure 10, the presence of ^{214}Bi and ^{208}Tl can mimic $\beta\beta$ events by a β decay accompanied by an internal conversion electron process. This is the dominant channel in the case of ^{208}Tl with a conversion rate of 0.2% for the 2615 keV γ ray, which produces a conversion electron with an energy of 2527 keV. Other processes are Møller scattering of the β -decay electrons in the source foil, or β decay to an excited state followed by a γ undergoing Compton scattering, which can be reconstructed as two-electron events if the γ is not detected.

1. ^{208}Tl contamination in the source foils

The β decay of ^{208}Tl is usually accompanied by two or three γ rays (see Figure 6). The ^{208}Tl contamination inside the sources foils is therefore measured by selecting internal ($e^-, \gamma\gamma$) and ($e^-, \gamma\gamma\gamma$) events defined as one electron track originating from the source foil that is associated with a scintillator hit, and two or three isolated scintillator hits. The time-of-flight must be consistent with the hypothesis that all particles are emitted from the track intersection with the foil.

We require that the energy of the electron is in the range $0.2 < E_e < 1.5$ MeV, $E_\gamma > 0.2$ MeV for all γ energies, and that the sum $\sum E_\gamma < 3.5$ MeV. The

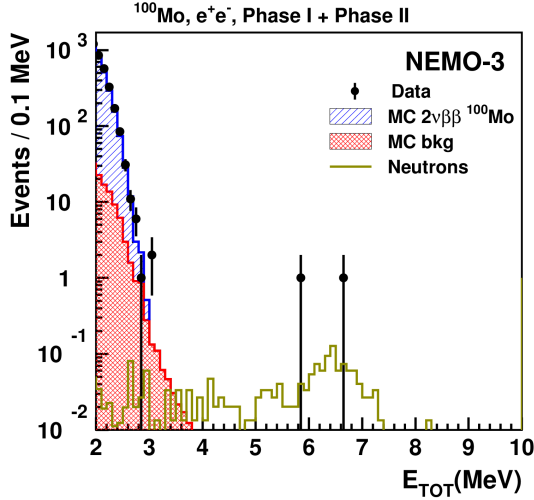


FIG. 13: Distribution of E_{tot} for e^+e^- pair events consistent with being emitted from ^{100}Mo foils for the entire data set. The data are compared to the sum of the expected background from external neutrons, $2\nu\beta\beta$ events, and the other background components.

condition

$$E_{e^-}(\text{MeV}) > \left(4(\text{MeV}) - 1.5 \times \sum E_{\gamma}(\text{MeV})\right) \quad (4)$$

rejects ^{214}Bi background. The highest energy photon must have $E_{\gamma} > 1.7$ MeV to select the 2615 keV γ line. Finally, we require $P_{\text{int}} > 0.05$, $P_{\text{ext}} < 0.01$, and the z coordinate of the emission vertex of the electron must satisfy $|z| < 120$ cm. The distributions of E_{e^-} , $\sum E_{\gamma}$, and the total energy $E_{e^-} + \sum E_{\gamma}$ are shown in Figure 16. The thoron and radon activities inside the tracking chamber are set to the values obtained from the prior measurements described in Section V B.

The measured ^{208}Tl activities of the metallic and composite Mo source foils, and of the copper and tellurium foils are given in Table IV, for both event topologies combined. The data are in agreement with the upper limits from the HPGe measurements of ^{208}Tl activities, prior to the installation of the foils in the detector. The two event topologies, $(e^-, \gamma\gamma)$ and $(e^-, \gamma\gamma\gamma)$, give consistent results when analysed separately. The ^{208}Tl activities of the copper and tellurium foils are used in section V D for the validation of the background model.

The systematic uncertainty on the ^{208}Tl activity is determined by using two ^{232}U radioactive sources (the isotope ^{232}U is a parent of ^{208}Tl). The ^{208}Tl activities of the sources are first calibrated by gamma spectroscopy with a coaxial HPGe detector, by measuring the intensity of the γ line emitted in the decay of ^{212}Pb to ^{212}Bi with an energy of 238 keV, while the two γ lines emitted in the decay of ^{208}Tl with energies of 583 keV and 2615 keV are used to check the results. The HPGe detection efficiency is determined with a calibrated ^{232}Th source that has an activity known to within 0.5%, and using a MC simulation of the setup. The sources are measured at

Source Foil	N_{obs}	N_B	S/B	ϵ (%)	A ($\mu\text{Bq/kg}$)	A (HPGe) ($\mu\text{Bq/kg}$) (90% C.L.)
^{100}Mo Metal.	823	281	1.93	2.05	87 ± 4	< 100
^{100}Mo Comp.	2241	617	2.63	2.15	128 ± 3	< 170
Copper	75	60	0.25	1.82	11 ± 3	< 33
^{130}Te	563	155	2.64	2.54	206 ± 10	< 500
Te-nat	741	121	5.14	2.18	301 ± 12	< 830

TABLE IV: Numbers of observed $(e^-, \gamma\gamma)$ and $(e^-, \gamma\gamma\gamma)$ events (N_{obs}), expected number of background events (N_B), signal-to-background ratio, ^{208}Tl signal efficiency (ϵ), and measured ^{208}Tl activity of the ^{100}Mo metallic (Metal.) and composite (Comp.) foils, the copper, ^{130}Te and natural Te foils. The activities of the foils are compared to the HPGe measurements performed before their installation. Only statistical uncertainties are given.

four different distances between the source and the Ge crystal. The four activities obtained for each distance are combined to obtain a total statistical uncertainties of 0.7% and a systematic uncertainty of 3%. The two calibrated ^{232}U sources are then temporarily introduced into the NEMO-3 detector through the calibration tubes. We select $(e^-, \gamma\gamma)$ and $(e^-, \gamma\gamma\gamma)$ events and fit the activities of the two sources using a MC simulation of ^{232}U decays. The results are given in Table V. The largest sources of systematic uncertainty are the knowledge of the exact location of the sources (3%) and the kinematic selection criteria (6%). This systematic uncertainty is estimated by allowing a variation of the energy requirements, considering tracks that traverse only a single sector, tracks only on the inner or outer side of the foils, and by accepting or rejecting scintillator blocks with an energy < 150 keV.

The results of the in-situ NEMO-3 and the HPGe measurements shown in Table V are consistent within their systematic uncertainties. We assign a systematic uncertainty of 10% to the ^{208}Tl activity measurement, corresponding to the larger difference between the in-situ and the HPGe measurements obtained for the second ^{232}U source.

	^{232}U Activity (Bq)	
	Source (1)	Source (2)
NEMO-3	$7.36 \pm 0.03 \pm 0.52$	$14.56 \pm 0.05 \pm 1.02$
HPGe	$7.79 \pm 0.04 \pm 0.21$	$15.91 \pm 0.09 \pm 0.43$

TABLE V: The ^{208}Tl activities from ^{232}U sources obtained with the NEMO-3 detector and with HPGe γ spectrometers.

The ^{208}Tl background measurement is validated by using the two-electron channel with at least one associated γ ray emitted in time from the source foil ($e^-e^-, N\gamma$). In the region where the sum of the two electrons energies satisfies $E_{\text{tot}} > 2.6$ MeV, ^{208}Tl contamination inside the foil dominates, whereas $2\nu\beta\beta$ decays are strongly sup-

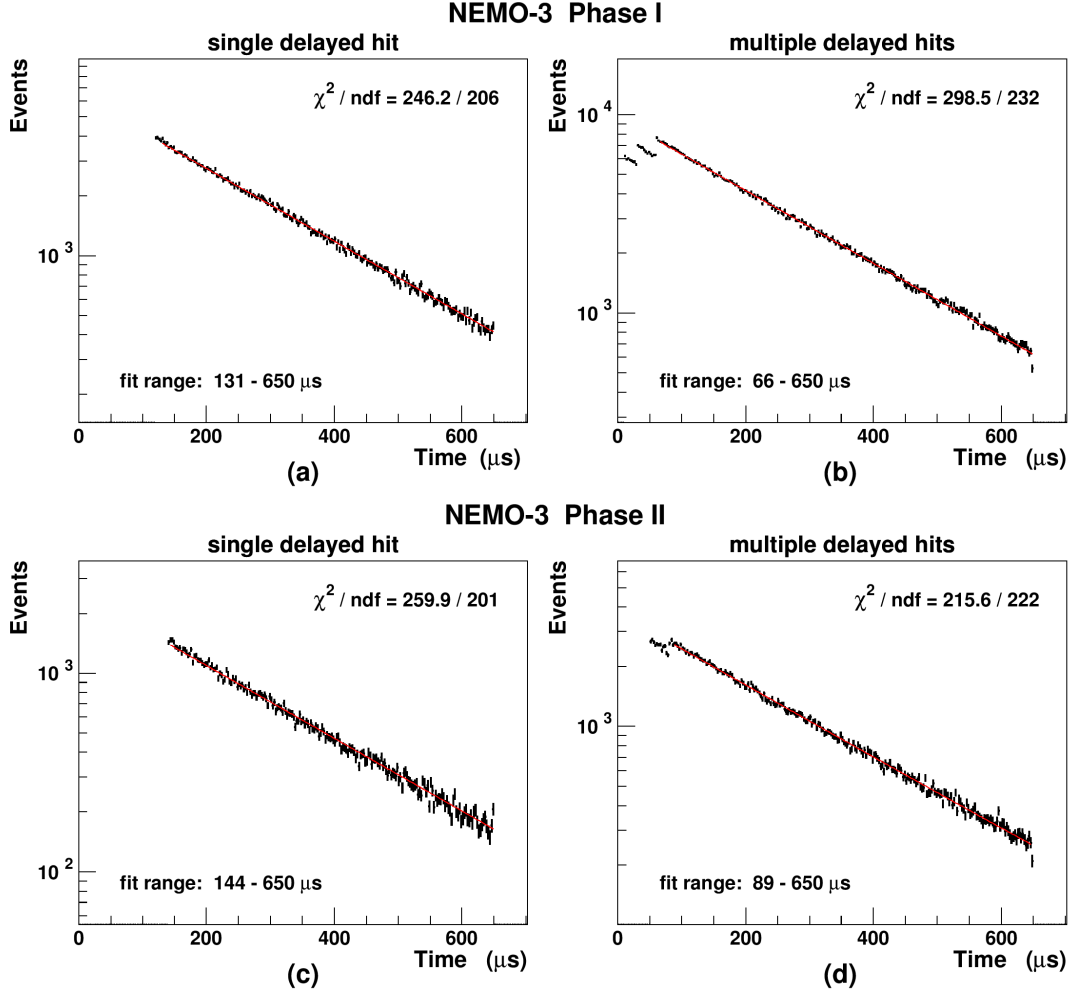


FIG. 14: Time distribution of delayed α tracks, measured for BiPo decays emitted inside the tracking detector, for Phase I ((a) and (b)) and Phase II ((c) and (d)), and for single delayed Geiger hit ((a) and (c)) or multiple delayed Geiger hits ((b) and (d)). The distributions are fitted by the sum of an exponential function with $T_{1/2}$ set to the ^{214}Po half-life of $T_{1/2} = 164 \mu\text{s}$ and a constant term accounting for random coincidences.

pressed by the selection criteria. Figure 17 shows the total energy of two electrons E_{tot} for $(e^-e^-, N\gamma)$ events for the entire ^{100}Mo data set. The normalisations of the different background components are set to the previously measured values and are not fitted to this distribution. The data are in good agreement with the expected background, which is dominated by ^{208}Tl contamination inside the foils. We observe 7 events in the ^{100}Mo foils in the interval $[2.8 - 3.2]$ MeV whereas 8.8 events are expected from the simulation. This independent check validates the estimation of the ^{208}Tl activity inside the foils within relatively large statistical uncertainties.

2. ^{214}Bi contamination in the source foil

The ^{214}Bi contamination inside the source foils is measured by analysing the distribution of the length of the delayed α tracks in BiPo events. It allows the discrim-

ination of the ^{214}Bi contamination inside the foils, and inside the mylar for composite foils, from the dominant radon background close to or on the surface of a foil.

The criteria for the selection of the BiPo events are similar to the selection used for the radon activity measurement, except that the common vertex of the electron track and the delayed α track must be in the foil or in the first layer of wires of the tracking chamber. The ^{214}Bi contamination inside the source foils is found by fitting the distribution of the delayed α track length, taking into account the other unknown activities as free parameters in the fit. These parameters are the ^{214}Bi activities from radon deposition on the surface of the source foils and on the surface of the two closest layers of wires. Only Phase II data are used to reduce the radon background.

The results of the fit are shown in Figures. 18 and 19 for the ^{100}Mo composite and metallic foils, respectively. The results of the ^{214}Bi activity measurement are given in Table VI for ^{100}Mo foils, and also for copper, ^{130}Te ,

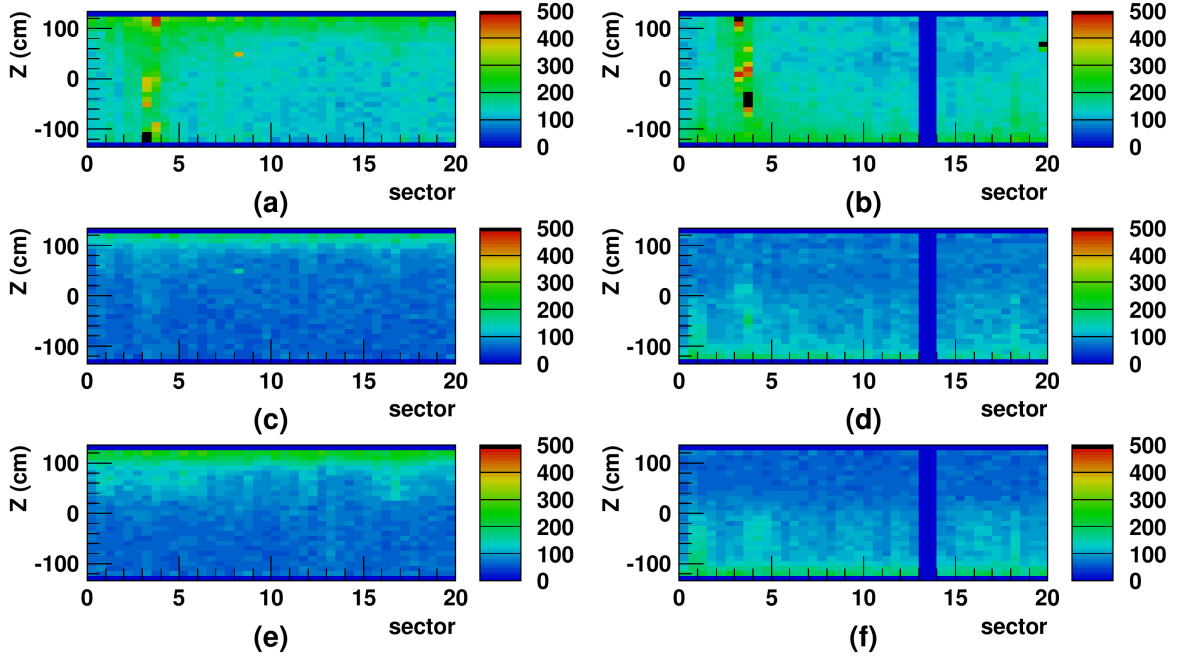


FIG. 15: The spatial distribution (vertical coordinate z versus sector number) of the emission vertex of detected ^{214}Bi - ^{214}Po decay cascade events emitted inside the tracking detector close to the source foils for Phase II. Left (right) correspond to events with an emission vertex on the internal (external) side of the source foil. (a) and (b) correspond to a vertex on the foil or on the wires of the first layer of Geiger cells close to the foil, (c) and (d) correspond to a vertex on the wires of the second layer of Geiger cells, and (e) and (f) correspond to a vertex on the wires of the third layer of Geiger cells. The external side of Sector 13 is not represented because of noise observed for Geiger cells in this zone.

and natural tellurium foils. They are in agreement with the upper limits obtained from HPGe measurements.

Source Foil	Activity Foil (mBq/kg)	Activity Mylar (mBq/kg)	A (HPGe) Foil+Mylar (mBq/kg)	A (HPGe) Mylar (mBq/kg)
^{100}Mo Comp.	0.31 ± 0.04	1.05 ± 0.06	< 0.34	< 0.67
^{100}Mo Metal.	0.06 ± 0.02	No mylar	< 0.39	No mylar
Copper	0.16 ± 0.04	No mylar	< 0.12	No mylar
^{130}Te	0.41 ± 0.06	1.81 ± 0.17	< 0.67	3.3 ± 0.5
Te-nat	0.37 ± 0.05	1.11 ± 0.17	< 0.17	1.7 ± 0.5

TABLE VI: Measured ^{214}Bi activity of the ^{100}Mo metallic, ^{100}Mo composite, copper, ^{130}Te , and natural Te source foils, compared to the HPGe measurements performed before their installation. Only statistical uncertainties are given. The fraction of the mylar mass relative to the total mass of the foil is in the range 5%–10%, depending on the foil.

The measured ^{214}Bi contamination is checked by selecting two-electron events emitted from the ^{100}Mo foils, where an associated delayed α track is emitted from the two-electron vertex (e^-e^- , α). This channel is dominated

by radon background close to the foil and by ^{214}Bi contamination from inside the foil. The criteria to select the two electrons are the same as those used for the selection of double β decay events (see Section IV). The criteria to select the delayed α track are identical to those used for the radon background measurement. Using all ^{100}Mo foils, we observe six events with a (e^-e^- , α) topology in the energy range for the two electrons of $E_{\text{tot}} = [2.8 - 3.2]$ MeV in the combined Phase I and II data, while 9.4 ± 0.4 events are expected from simulations. Within large statistical uncertainties, this result confirms the prediction for the ^{214}Bi background contribution in the $0\nu\beta\beta$ signal region.

D. Validation of background model with copper and tellurium foils

The complete background model is validated by selecting two-electron events emitted from the copper, natural tellurium, and ^{130}Te foils using the criteria described in Section IV. The data correspond to an exposure of 13.5 kg-yr. The internal contaminations of these foils in

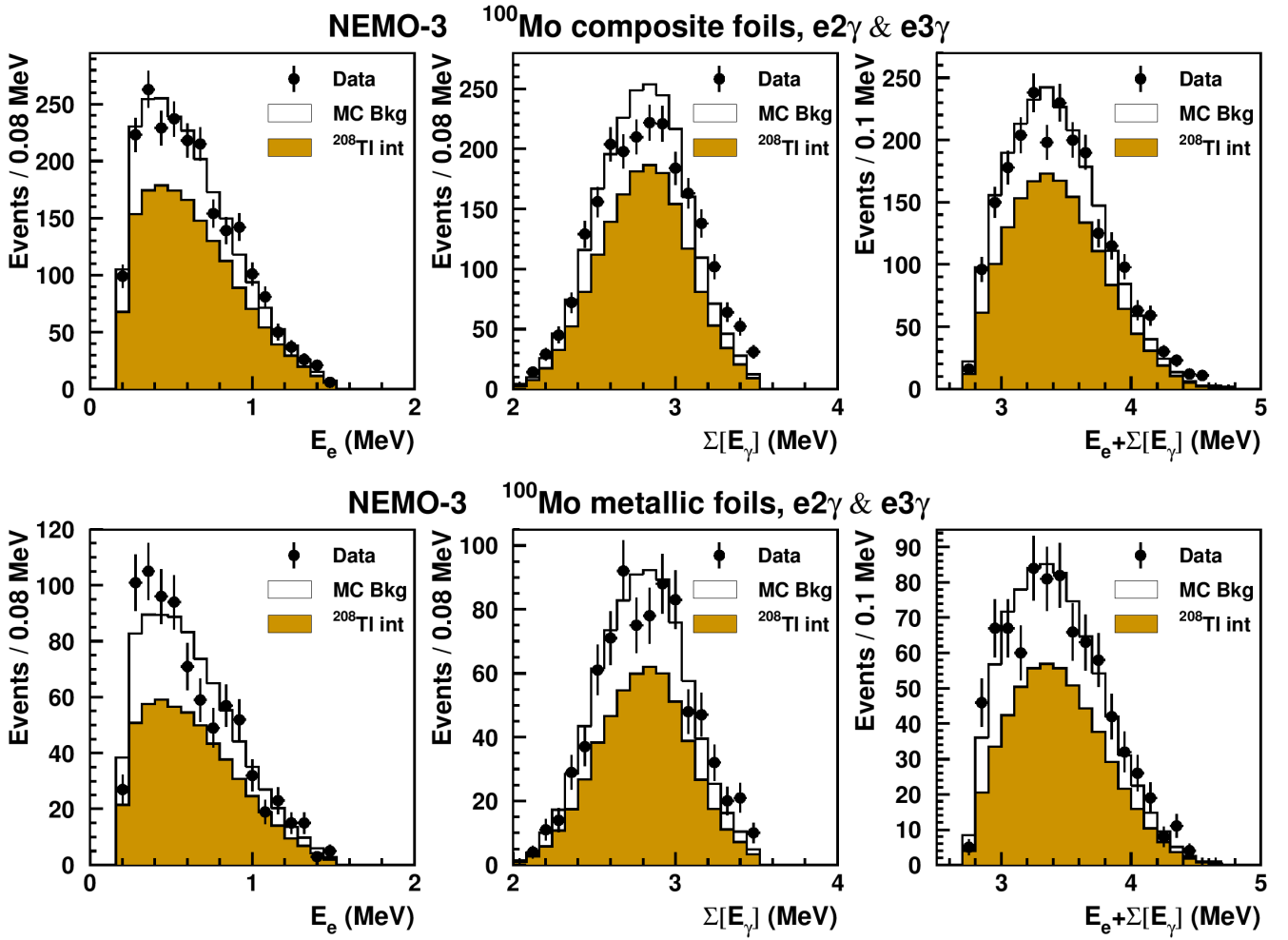


FIG. 16: Distributions of the energy of the electron, E_e , the energy sum $\sum E_\gamma$, and $E_e + \sum E_\gamma$ using $(e^-, \gamma\gamma)$ and $(e^-, \gamma\gamma\gamma)$ events for the combined ^{100}Mo data set. The top panels show the composite and the bottom panels the metallic foils. The data are compared to the sum of the expected background from MC simulations and the fitted ^{208}Tl activity inside the ^{100}Mo foils.

^{208}Tl and ^{214}Bi are measured using the same methods as those used for the Mo foils (see sections V C 1 and V C 2). Results of the internal contaminations measurements are given in Tables IV and VI. Figure 20 shows the distributions of the sum of the energies of the two electrons for $E_{\text{tot}} > 2$ MeV, and Table VII gives the number of events with $E_{\text{tot}} > 2$ MeV. The observed numbers of two-electron events agree with the expectation from the MC simulation calculated using the background model, which is dominated by radon background. The number of $2\nu\beta\beta$ decays of ^{130}Te in this energy region is expected to be negligible [12]. In the full data set, only 3 events with two electrons from the sectors containing copper, ^{130}Te , and natural tellurium foils remain in the energy region $E_{\text{tot}} = [2.8 - 3.2]$ MeV, compared to a MC expectation of 3.6 ± 0.2 events.

Data Set	Phase I	Phase II	Combined
External Background	4.77 ± 0.48	24.94 ± 2.49	29.71 ± 2.97
^{214}Bi from Radon	36.1 ± 3.6	34.0 ± 3.4	70.0 ± 7.0
^{214}Bi Internal	2.34 ± 0.23	13.83 ± 1.38	16.17 ± 1.62
^{208}Tl Internal	0.49 ± 0.05	2.93 ± 0.29	3.42 ± 0.34
^{130}Te	0.12 ± 0.02	0.75 ± 0.15	0.87 ± 0.17
Total Expected	43.8 ± 3.7	76.4 ± 4.5	120.2 ± 8.1
Data	47	76	123

TABLE VII: Numbers of expected background and observed two-electron events with $E_{\text{tot}} > 2.0$ MeV in Phases I and II, and for the combined data set, in the copper, natural tellurium, and ^{130}Te foils. The combined data correspond to an exposure of 13.5 kg-yr. The contribution from $2\nu\beta\beta$ decays of ^{130}Te is negligible.

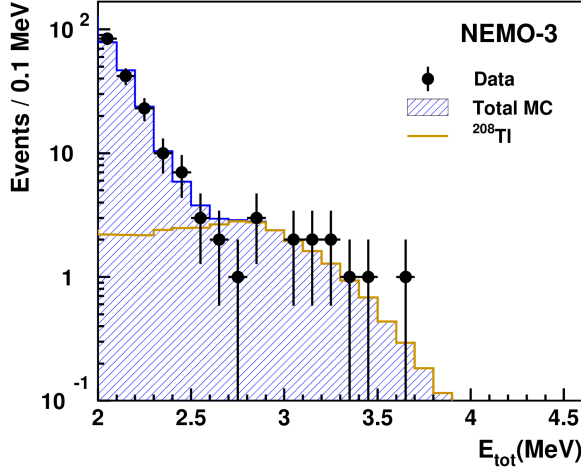


FIG. 17: Distribution of the total energy of two electrons E_{tot} in the $(e^-e^-, N\gamma)$ channel for the ^{100}Mo data set compared to the expected background from ^{208}Tl contamination inside the foils and to the total expected background. The normalisations of the different background components are not fitted, but set to the measured values. No event is observed for $E_{\text{tot}} > 3.7$ MeV.

VI. SEARCH FOR NEUTRINOLESS DOUBLE β DECAY

The search for $0\nu\beta\beta$ decays is performed by first selecting two-electron events using the criteria described in Section IV, where we require two electrons emitted from a common vertex in one of the ^{100}Mo foils with a combined energy $E_{\text{tot}} > 2$ MeV. We then search for an excess in data above the background expectation in the E_{tot} distribution for energies close to the value of $Q_{\beta\beta}$. The contributions of the background from external sources, from radon, and from the internal ^{214}Bi and ^{208}Tl foil contaminations are fixed to the measured values given in Section V.

We obtain the $2\nu\beta\beta$ background contribution by fitting the E_{tot} distribution in the range $E_{\text{tot}} > 2$ MeV using the shape of the spectrum predicted by the Single State Dominance model for the $2\nu\beta\beta$ decay of ^{100}Mo [13]. The other background components are also taken into account in the fit. Figure 20 shows that the fitted E_{tot} distributions for Phase I, Phase II, and for the combined data set agree with the data. The fitted number of $2\nu\beta\beta$ events for $E_{\text{tot}} > 2$ MeV corresponds to a ^{100}Mo half-life of

$$T_{1/2}(2\nu\beta\beta) = [6.93 \pm 0.04 \text{ (stat)}] \times 10^{18} \text{ yr}, \quad (5)$$

after correcting for the signal efficiency, which is in agreement with the previously published result for Phase I [6] and with the world average [14].

The E_{tot} distribution in the region $2.8 \leq E_{\text{tot}} \leq 3.2$ MeV is shown in Figure 20, and the different components of background in this energy window, and the

Data Set	Phase I	Phase II	Combined
External Background	< 0.04	< 0.16	< 0.2
^{214}Bi from Radon	2.8 ± 0.3	2.5 ± 0.2	5.2 ± 0.5
^{214}Bi Internal	0.20 ± 0.02	0.80 ± 0.08	1.0 ± 0.1
^{208}Tl Internal	0.65 ± 0.05	2.7 ± 0.2	3.3 ± 0.3
$2\nu\beta\beta$ Decays	1.28 ± 0.02	7.16 ± 0.05	8.45 ± 0.05
Total Expected	4.9 ± 0.3	13.1 ± 0.3	18.0 ± 0.6
Data	3	12	15

TABLE VIII: Numbers of expected background and observed two-electron events in Phases I and II in the ^{100}Mo foil for an exposure of 34.3 kg-yr in the range $E_{\text{tot}} = [2.8 - 3.2]$ MeV. The $0\nu\beta\beta$ signal detection efficiency is 4.7% in this energy range.

number of observed two-electron events are given in Table VIII. In Phase II, the observed background rate for $2.8 \leq E_{\text{tot}} \leq 3.2$ MeV is 0.44 ± 0.13 counts/yr/kg, with about 55% originating from $2\nu\beta\beta$ decays of ^{100}Mo , about 20% from the radon gas contamination inside the tracking chamber, and about 20% from internal ^{208}Tl contamination in the ^{100}Mo foils. We estimate the internal ^{214}Bi contamination in the composite ^{100}Mo foils to be 5%, while this background is negligible for metallic foils. The contributions from external backgrounds are also negligible.

Since we observe no significant excess in data above the background expectation, a limit on the $0\nu\beta\beta$ decay of ^{100}Mo is derived. The uncertainties on the efficiency to detect $0\nu\beta\beta$ events and on the estimated background contributions are the two main components of the systematic uncertainty. As discussed in Section IV, the systematic uncertainty on the $0\nu\beta\beta$ detection efficiency is 7%. The systematic uncertainties on the estimated background contributions are due to the activities of $2\nu\beta\beta$ decays, and the ^{214}Bi and ^{208}Tl backgrounds. An uncertainty of 0.7% on the $2\nu\beta\beta$ activity is obtained from the fit to two-electron events in the energy range $E_{\text{tot}} > 2$ MeV. As discussed in Section V, the systematic uncertainty on the normalisations of the background contributions from radon, ^{214}Bi , and ^{208}Tl radioactive contaminants is 10%. This systematic uncertainty is taken into account in setting the limit on the $0\nu\beta\beta$ decay of the ^{100}Mo isotope. The contributions of the external backgrounds and from thoron are negligible.

The limit on the $0\nu\beta\beta$ half-life is set using a modified frequentist analysis that employs a log-likelihood ratio test statistics [15]. The method uses the full information of the binned energy sum distribution in the $E_{\text{tot}} = [2.0 - 3.2]$ MeV energy range for signal and background (see Figure 20), as well as the statistical and systematic uncertainties and their correlations, and is described in more detail in [15, 16]. All limits are given at the 90% C.L. The data are described well by the background-only hypothesis with a p value of $p = 1 - CL_b = 0.647$. Taking into account the $0\nu\beta\beta$ detection efficiency of 11.3% for the combined data set and the total exposure of

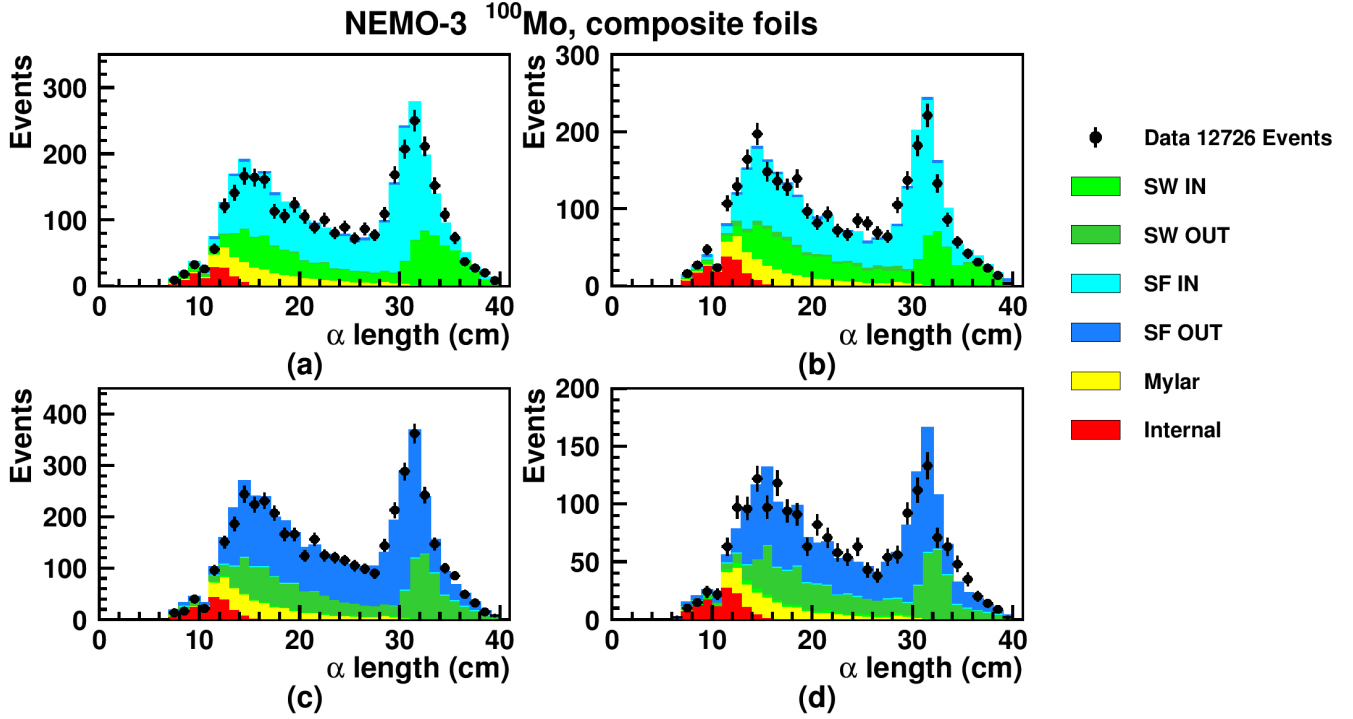


FIG. 18: Distribution of the lengths of delayed α tracks for composite ^{100}Mo foils for Phase II: (a,c) for electron and α tracks on the same side of the foils, (b,d) for electron and α tracks on opposite sides of the foils, (a,b) for α tracks on the inner side of the foils, (c,d) for α tracks on the outer side of the foils. The data are compared to the simulated background with a normalisation determined by the fit of the different components of ^{214}Bi background. “SW” corresponds to the ^{214}Bi deposition on the surface of the wires, and “SF” to the deposition on the surface on the foil, where “IN” and “OUT” corresponds to the components from the wires and surfaces inside and outside relative to the position of the foil. “Internal” ^{214}Bi contamination originates inside the ^{100}Mo foils, and the “mylar” contamination from inside the mylar.

34.3 kg·yr, we obtain a limit of $T_{1/2}(0\nu\beta\beta) > 1.1 \times 10^{24}$ yr for the $0\nu\beta\beta$ decays of ^{100}Mo through the light Majorana neutrino mass mechanism.

The result agrees with the median expected sensitivity of the experiment of $T_{1/2}(0\nu\beta\beta) = 1.0 \times 10^{24}$ yr within the ± 1 standard deviation (SD) range of $[0.7, 1.4] \times 10^{24}$ yr. This result is a factor of two more stringent than the previous best limit for this isotope [6]. The corresponding upper limit on the effective Majorana neutrino mass is $\langle m_\nu \rangle < 0.33\text{--}0.62$ eV, where the range is determined by existing uncertainties on the calculations of the NMEs [17, 19–22] and phase space factors [23, 24]. The upper value 0.62 eV is lower than the upper value previously reported in our rapid communication [7], because of the use of the new NME calculation from [17], which is an update of the previous calculation [18].

We also derive constraints on other lepton-number violating models: the supersymmetric models, the right-left symmetric models, and Majoron emission.

In supersymmetric models, the $0\nu\beta\beta$ process can be mediated by the exchange of a gluino or neutralino. Using the obtained limit of $T_{1/2}(0\nu\beta\beta) > 1.1 \times 10^{24}$ yr and the NME from [29] an upper bound is obtained on the trilinear R -parity violating supersymmetric coupling of

$\lambda'_{111} < (4.4 - 6.0) \times 10^{-2} f$, where

$$f = \left(\frac{M_{\tilde{q}}}{1 \text{ TeV}} \right)^2 \left(\frac{M_{\tilde{g}}}{1 \text{ TeV}} \right)^{1/2}, \quad (6)$$

and $M_{\tilde{q}}$ and $M_{\tilde{g}}$ represent the squark and gluino masses.

Right-left symmetric models include right-handed currents in the electroweak Lagrangian that predict different angular and energy distributions of the final state electrons from the $0\nu\beta\beta$ decays. The NEMO-3 experiment, with the topological information for the two final-state electrons, can discriminate between the topologies from different mechanisms [25]. The corresponding half-life limits are given in Table IX and translate into an upper bound on the coupling between right-handed quark and lepton currents of $\langle \lambda \rangle < (0.9 - 1.3) \times 10^{-6}$ and into an upper bound on the coupling between right-handed quark and left-handed lepton currents of $\langle \eta \rangle < (0.5 - 0.8) \times 10^{-8}$. The constraints are obtained using the NME calculations from [26–28].

The $0\nu\beta\beta$ decay could also be accompanied by a Majoron (M), which is a light or massless boson that weakly couples to the neutrino [30]. In this case the energy sum of the two emitted electrons, E_{tot} , will have a broad spectrum in the range $[0 - Q_{\beta\beta}]$. The shape will depend

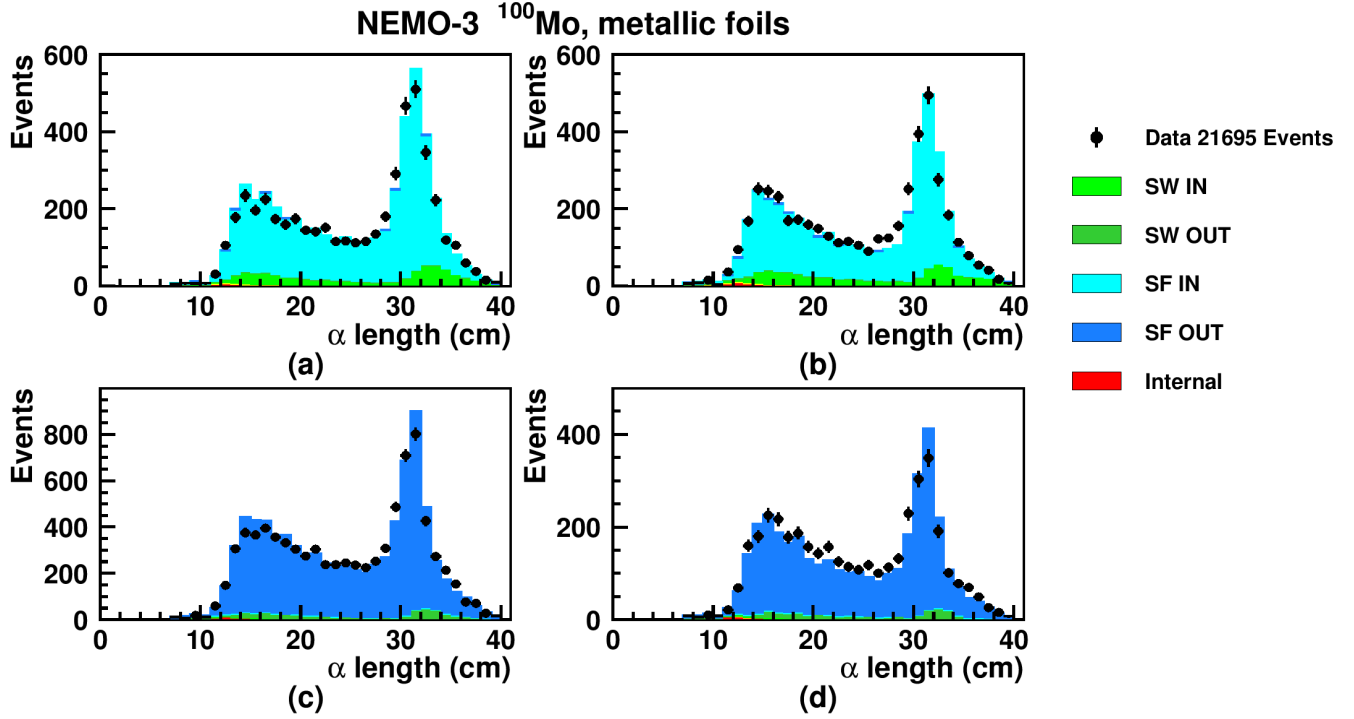


FIG. 19: Distribution of the delayed α track length for metallic ^{100}Mo foils (see Figure 18 caption for further details).

on the spectral index n , which determines the phase space dependence on the energy released in the decay, $G^{0\nu} \propto (Q_{\beta\beta} - E_{\text{tot}})^n$. The lower bound on the half-life of the $0\nu\beta\beta$ decay with the spectral index $n = 1$ is given in Table IX. This is almost a factor of two more stringent than the previous best limit for this isotope [31]. Taking into account the phase space factors given in [32] and the NME calculated in [17, 19–22], an upper bound on the Majoron-neutrino coupling constant is obtained, $\langle g_{ee} \rangle < (1.6 - 3.0) \times 10^{-5}$.

The limits on lepton number violating parameters obtained here have comparable sensitivity to the best current results obtained with other isotopes, as shown in Table X and in Figure 21 for the light Majorana neutrino mass mechanism.

	Statistical	Including Systematics			
	Obs.	Obs.	Expected		
$0\nu\beta\beta$ Mechanism					
Mass Mechanism	1.1	1.1	0.7	1.0	1.4
RH Current $\langle \lambda \rangle$	0.7	0.6	0.4	0.5	0.8
RH Current $\langle \eta \rangle$	1.0	1.0	0.6	0.9	1.3
Majoron	0.050	0.044	0.027	0.039	0.059

TABLE IX: Observed and median expected limits on half-lives of lepton number violating processes (in units of 10^{24} yr) at the 90% C.L. using statistical and systematical uncertainties. The observed limits are also given using only statistical uncertainties.

VII. CONCLUSIONS

We have presented results based on an analysis of the full NEMO-3 data set with an exposure of 34.3 kg.yr of ^{100}Mo , which corresponds to 4.96 effective years of data collection and 6.914 kg of ^{100}Mo . The calibration of the calorimeter, the long-term stability of data taking, and the determination of the backgrounds are discussed in detail. No evidence for $0\nu\beta\beta$ decays of ^{100}Mo has been found, as previously reported in our rapid communication [7]. Taking into account statistical and systematic uncertainties, the limit on the half-life for the light Majorana neutrino mass mechanism is $T_{1/2}(0\nu\beta\beta) > 1.1 \times 10^{24}$ yr (90% C.L.). The corresponding limit on the effective Majorana neutrino mass is in the range $\langle m_\nu \rangle < 0.33$ – 0.62 eV, depending on the NME calculation used in the derivation.

Studies of the backgrounds using various decay channels, radioactive sources, and HPGe measurements before the installation of the detector are used to construct and validate a detailed model of the background. In Phase II, the expected background rate in the $0\nu\beta\beta$ signal region $E_{\text{tot}} = [2.8 - 3.2]$ MeV is 0.44 ± 0.13 counts/yr/kg. About half of this background is expected to be $2\nu\beta\beta$ decays of ^{100}Mo , and the remaining background is caused in roughly equal parts by the radon gas contamination inside the tracking chamber, which is about 5 mBq/m³, and by ^{208}Tl contamination inside the ^{100}Mo foils, which is between 90–130 $\mu\text{Bq/kg}$ depending

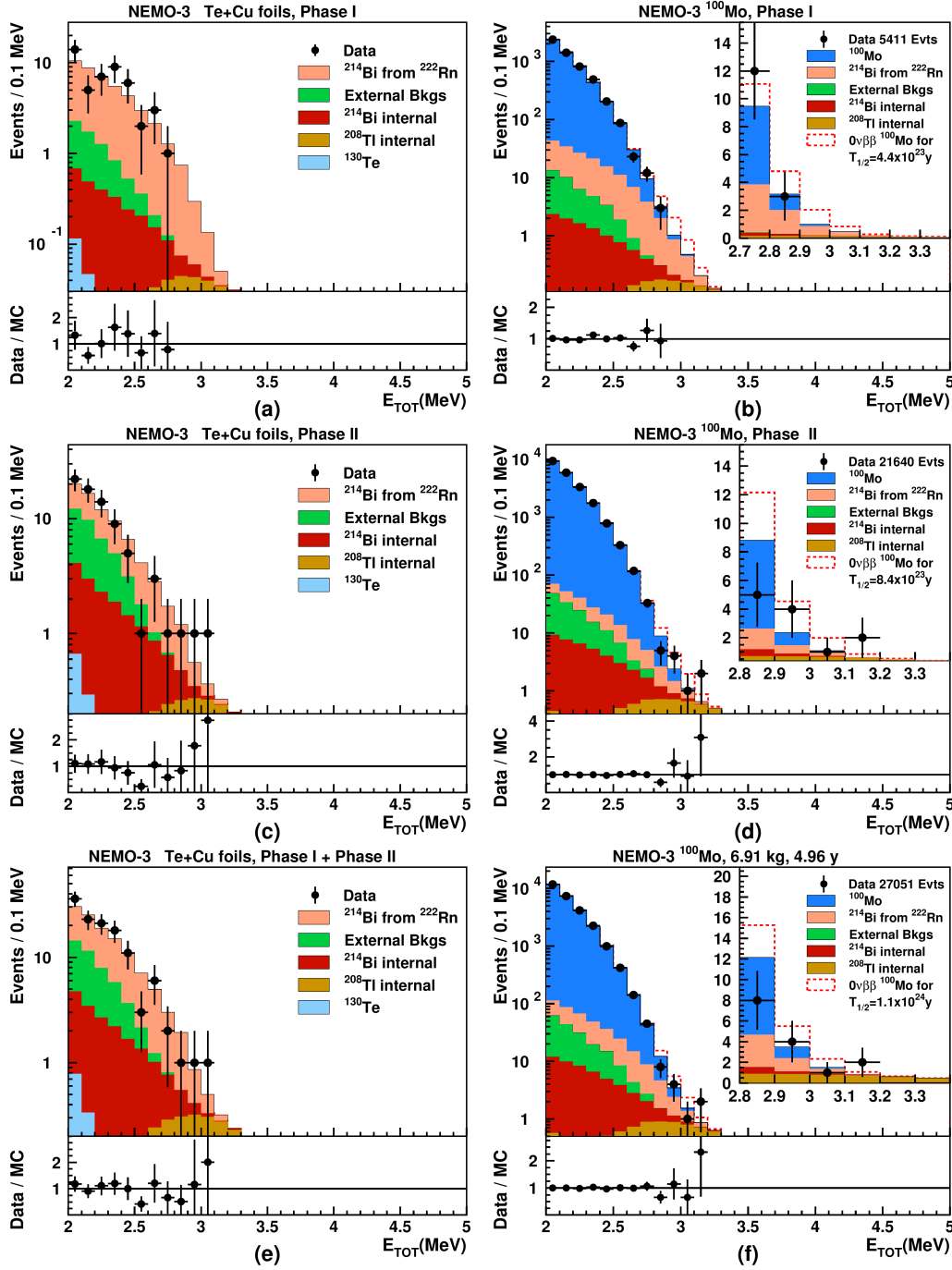


FIG. 20: Distribution of E_{tot} for two-electron events with $E_{\text{tot}} > 2$ MeV for the copper, ^{130}Te , and natural tellurium foils (a,c,e), and for ^{100}Mo foils (b,d,f), for Phase I (a,b) and Phase II (c,d), and combined (e,f). The combined data correspond to an exposure of 13.5 kg·yr for the copper, ^{130}Te , and natural tellurium foils, and 34.3 kg·yr for the ^{100}Mo foils. The data are compared to the sum of the expected background from radon, external backgrounds, and from internal ^{214}Bi and ^{208}Tl contaminations inside the foils. The background components are not fitted but set to the values measured in Section V.

on the type of foil. No background events are observed in the region of $E_{\text{tot}} = [3.2 - 10]$ MeV for NEMO-3 sources containing isotopes with $Q_{\beta\beta} < 3.2$ MeV (^{100}Mo , ^{82}Se , ^{130}Te , ^{116}Cd), or in the copper foil, which is not a double β emitter, during the entire running period correspond-

ing to an exposure of 47 kg·yr.

This low level of background demonstrates that an extremely low level of non double β background can be achieved by the future SuperNEMO experiment, which will employ the NEMO-3 technique. The SuperNEMO

	Half-Life (10^{25} yr)	$\langle m_\nu \rangle$ (eV)	$\langle m_\nu \rangle_{\text{rec}}$ (eV)	$\langle \lambda \rangle$ (10^{-6})	$\langle \eta \rangle$ (10^{-8})	λ'_{111}/f (10^{-2})	$\langle g_{ee} \rangle$ (10^{-5})
^{100}Mo [This Work]	0.11	0.33–0.62	0.33–0.62	0.9–1.3 ^a	0.5–0.8 ^a	4.4–6.0	1.6–3.0 ^a
^{130}Te [33, 34]	0.28	0.3–0.71	0.31–0.75	1.6–2.4 ^b	0.9–5.3 ^b		17–33 ^c
^{136}Xe [35, 36]	1.9	0.14–0.34	0.14–0.34				0.8–1.6
^{76}Ge [37]	2.1	0.2–0.4	0.26–0.62				
^{76}Ge [38, 39]	1.9	0.35	0.27–0.65	1.1	0.64		8.1

TABLE X: Limits at the 90% C.L. on half-lives and lepton number violating parameters. Published experimental constraints on $\langle m_\nu \rangle$ and recalculated values with NMEs from Refs. [17, 19–22, 40] are also given.

^a obtained with half-lives in Table IX, ^b using the half-life limit of 2.1×10^{23} yr, ^c using the half-life limit of 2.2×10^{21} yr.

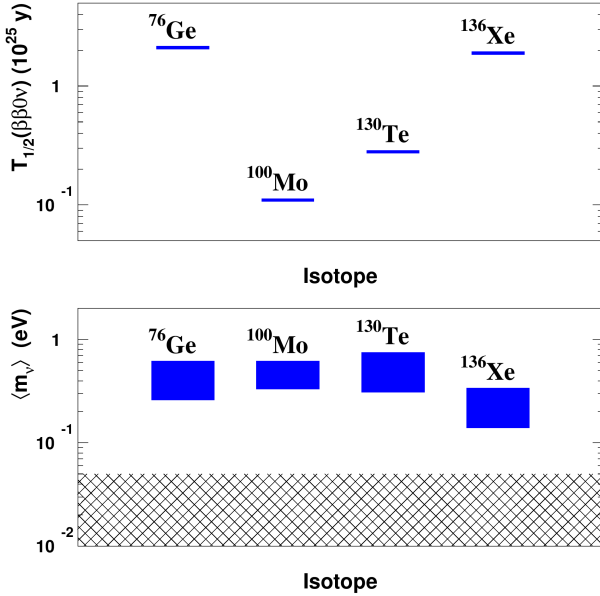


FIG. 21: The 90% C.L. lower limits on $T_{1/2}(0\nu\beta\beta)$ for the light Majorana neutrino mass mechanism and upper limits on the effective Majorana neutrino mass $\langle m_\nu \rangle$ using the same NME calculations [17, 19–22] and recent phase space calculations [23, 24]. The shaded regions correspond to the ranges from using different NME calculations. The hatched area corresponds to the expected range for $\langle m_\nu \rangle$, calculated from the neutrino oscillation parameters and assuming the inverted neutrino mass hierarchy.

Collaboration proposes to search for $0\nu\beta\beta$ decays using 100 kg of double β isotopes [25]. The $2\nu\beta\beta$ background will be further reduced by improving the energy resolution and by measuring an isotope with a long $2\nu\beta\beta$ half-life, currently assumed to be ^{82}Se . Other favorable isotopes, such as ^{150}Nd and ^{48}Ca , are also studied. A first SuperNEMO demonstrator module, currently under construction, will contain 7 kg of ^{82}Se . The objective is to demonstrate that the background can be reduced by 1–2 orders of magnitude compared to the NEMO-3 detector.

Acknowledgments

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